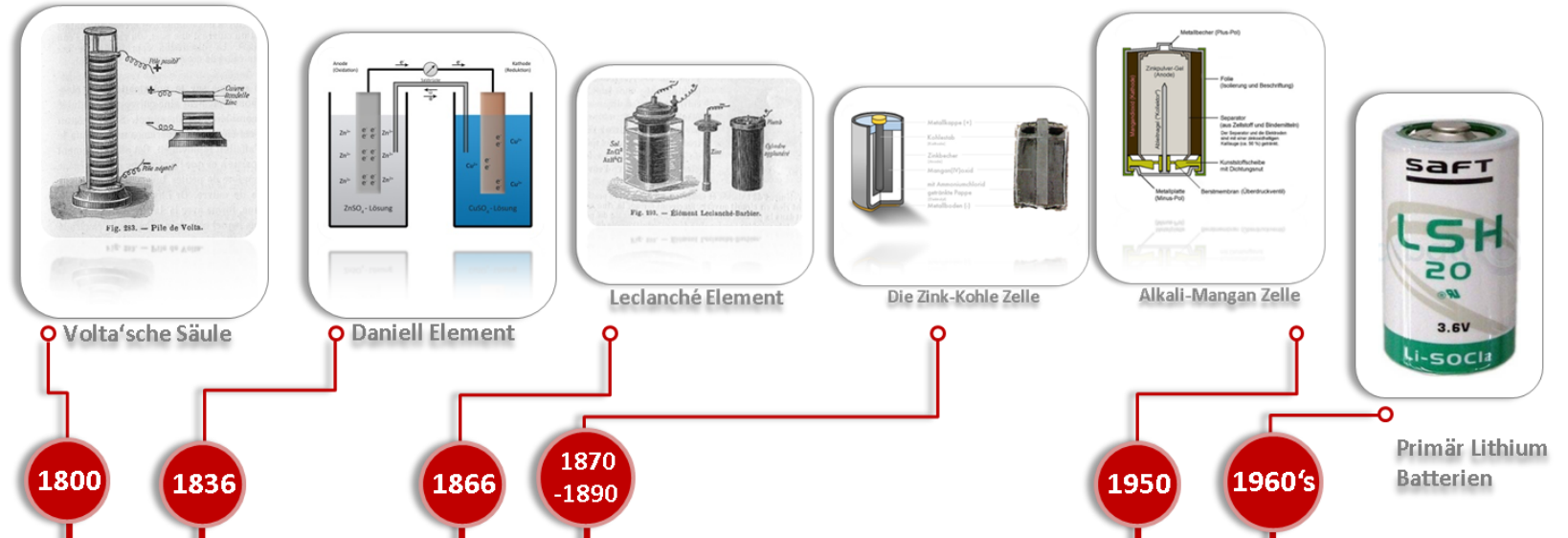

Functional principle and the main components of lithium and Li-ion batteries (primary-, secondary)

Dr. Robert Kun

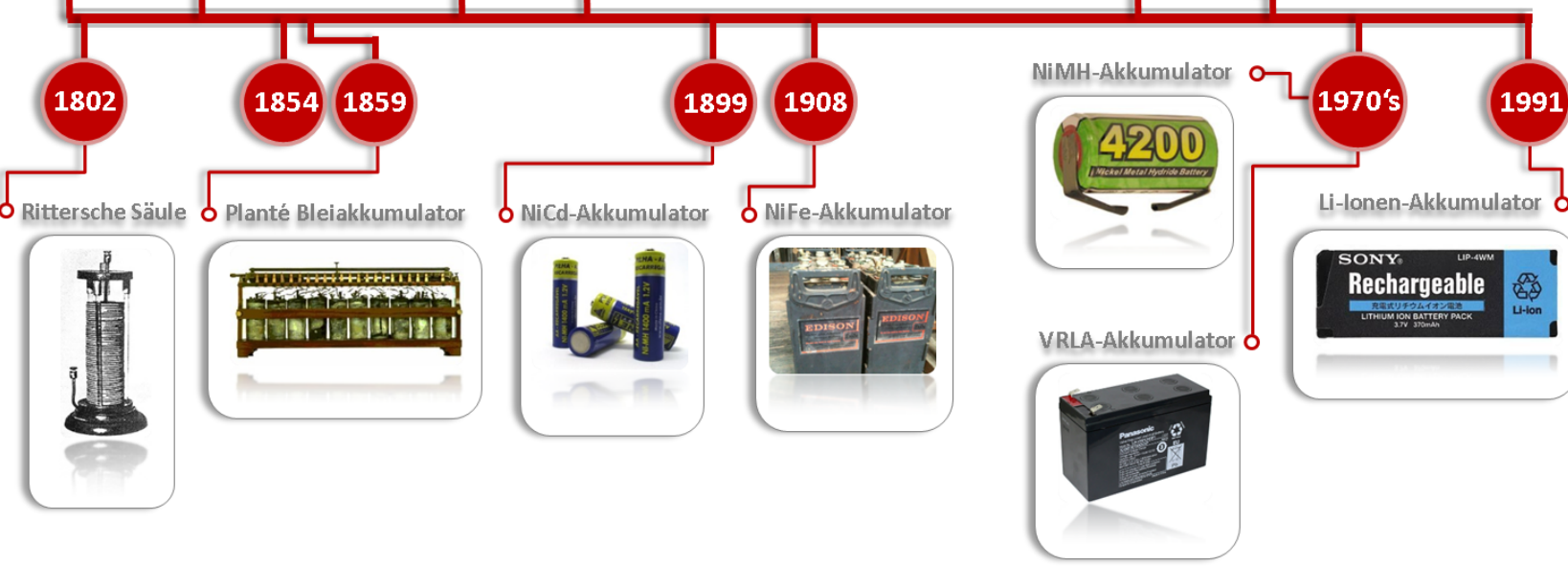
Budapest University of Technology and Economics
Faculty of Chemical Technology and Biotechnology
Department of Chemical and Environmental Process Engineering

Short history of the galvanic cells

primary



secondary



Short history of the galvanic cells

Year	Inventor	Activity
1600	William Gilbert (UK)	Establishment of electrochemistry study
1745	Ewald George von Kleist (Netherlands)	Invention of Leyden jar. Stores static electricity
1791	Luigi Galvani (Italy)	Discovery of “animal electricity”
1800	Alessandro Volta (Italy)	Invention of the voltaic cell (zinc, copper disks)
1802	William Cruickshank (UK)	First electric battery capable of mass production
1820	André-Marie Ampère (France)	Electricity through magnetism
1833	Michael Faraday (UK)	Announcement of Faraday’s law
1836	John F. Daniell (UK)	Invention of the Daniell cell
1839	William Robert Grove (UK)	Invention of the fuel cell (H ₂ /O ₂)
1859	Gaston Planté (France)	Invention of the lead acid battery
1868	Georges Leclanché (France)	Invention of the Leclanché cell (carbon-zinc)
1899	Waldmar Jungner (Sweden)	Invention of the nickel-cadmium battery
1901	Thomas A. Edison (USA)	Invention of the nickel-iron battery
1932	Schlecht & Ackermann (D)	Invention of the sintered pole plate
1947	Georg Neumann (Germany)	Successfully sealing the nickel-cadmium battery
1949	Lew Urry, Eveready Battery	Invention of the alkaline-manganese battery
1970s	group effort	Development of valve-regulated lead acid battery
1990	group effort	Commercialization of nickel-metal-hydride battery
1991	Sony (Japan)	Commercialization of lithium-ion battery
1994	Bellcore (USA)	Commercialization of lithium-ion polymer
1996	Moli Energy (Canada)	Introduction of Li-ion with manganese cathode
1996	University of Texas (USA)	Identification of Li-phosphate (LiFePO ₄)
2002	University of Montreal, Quebec Hydro, MIT, others	Improvement of Li-phosphate, nanotechnology, commercialization

1970's: commercialisation of the first non-rechargeable lithium battery

1980's: development starts on rechargeable Li-ion cells (with metallic Li)

1991: commercialisation of rechargeable Li-ion cells (Sony)

1994: commercialisation of rechargeable Li-ion polymer cells, „LiPo” (Bellcore)

1996: Introduction of the lithium-manganese-oxide (LMO) cathode (Moli Energy)

1996: Introduction of the lithium-iron-phosphate (LFP) cathode material (Univ. Texas)

Advantages of the Li-ion technology

- maintenance-free
- no „memory effect“
- no self-discharge
- >3,6V cell voltage → highest energy density
- available as „energy cell“ and „power cell“
- fast charge/discharge is possible
- high efficiency (i.e., Coulombic efficiency)
- broad temperature range (-20°C - +60°C)
- flat voltage profile

Disadvantages of the Li-ion technology

- active charge- and discharge safety procedures, „cell balancing“ needed
- Manufacturing complex, highly cost-intensive, expensive/high price
- possible cell opening on cell abuse (mechanic-, electric-, thermal abuse)
- strict transportation provisions

Why lithium?

Alkali metal
Atomic number: 3
Atomic weight: 6,94
Spec. gravity: 0,53 g/cm³

Name origin:
Ancient Greek
λίθος (*líthos*) =
„Stein“

I										VIII									
1,01 H																			4,00 He
6,94 3 Li	9,01 4 Be											10,81 5 B	12,01 6 C	14,01 7 N	16,00 8 O	19,00 9 F	20,18 10 Ne		
22,99 11 Na	24,31 12 Mg											26,98 13 Al	28,09 14 Si	30,97 15 P	32,06 16 S	35,45 17 Cl	39,95 18 Ar		
39,10 19 K	40,08 20 Ca	44,96 21 Sc	47,87 22 Ti	50,94 23 V	52,00 24 Cr	54,94 25 Mn	55,85 26 Fe	58,93 27 Co	58,69 28 Ni	63,55 29 Cu	65,39 30 Zn	69,72 31 Ga	72,61 32 Ge	74,92 33 As	78,96 34 Se	79,90 35 Br	83,8 36 Kr		
85,47 37 Rb	87,62 38 Sr	88,91 39 Y	91,22 40 Zr	92,91 41 Nb	95,94 42 Mo	97,91 43 Tc	101,0 44 Ru	102,9 45 Rh	106,4 46 Pd	107,9 47 Ag	112,4 48 Cd	114,8 49 In	118,7 50 Sn	121,8 51 Sb	127,6 52 Te	126,9 53 I	131,3 54 Xe		
132,9 55 Cs	137,3 56 Ba	175,0 71 Lu	178,5 72 Hf	180,9 73 Ta	183,8 74 W	186,2 75 Re	190,2 76 Os	192,2 77 Ir	195,1 78 Pt	197,0 79 Au	200,6 80 Hg	204,4 81 Tl	207,2 82 Pb	209,0 83 Bi	209,0 84 Po	210,0 85 At	222,0 86 Rn		
223,0 87 Fr	226,0 88 Ra	262,0 103 Lr	261,1 104 Rf	262,1 105 Db	266,1 106 Sg	264,1 107 Bh	269,1 108 Hs	268,1 109 Mt	273,1 110 Ds	272,1 111 Rg									

- lightest metal on Earth (lightest from all solid element)
- highest electrochemical potential
- highest specific energy is achievable

However very reactive!!!

Reaction between lithium and water

Quelle: <http://www.dlt.ncssm.edu>



Working in glovebox



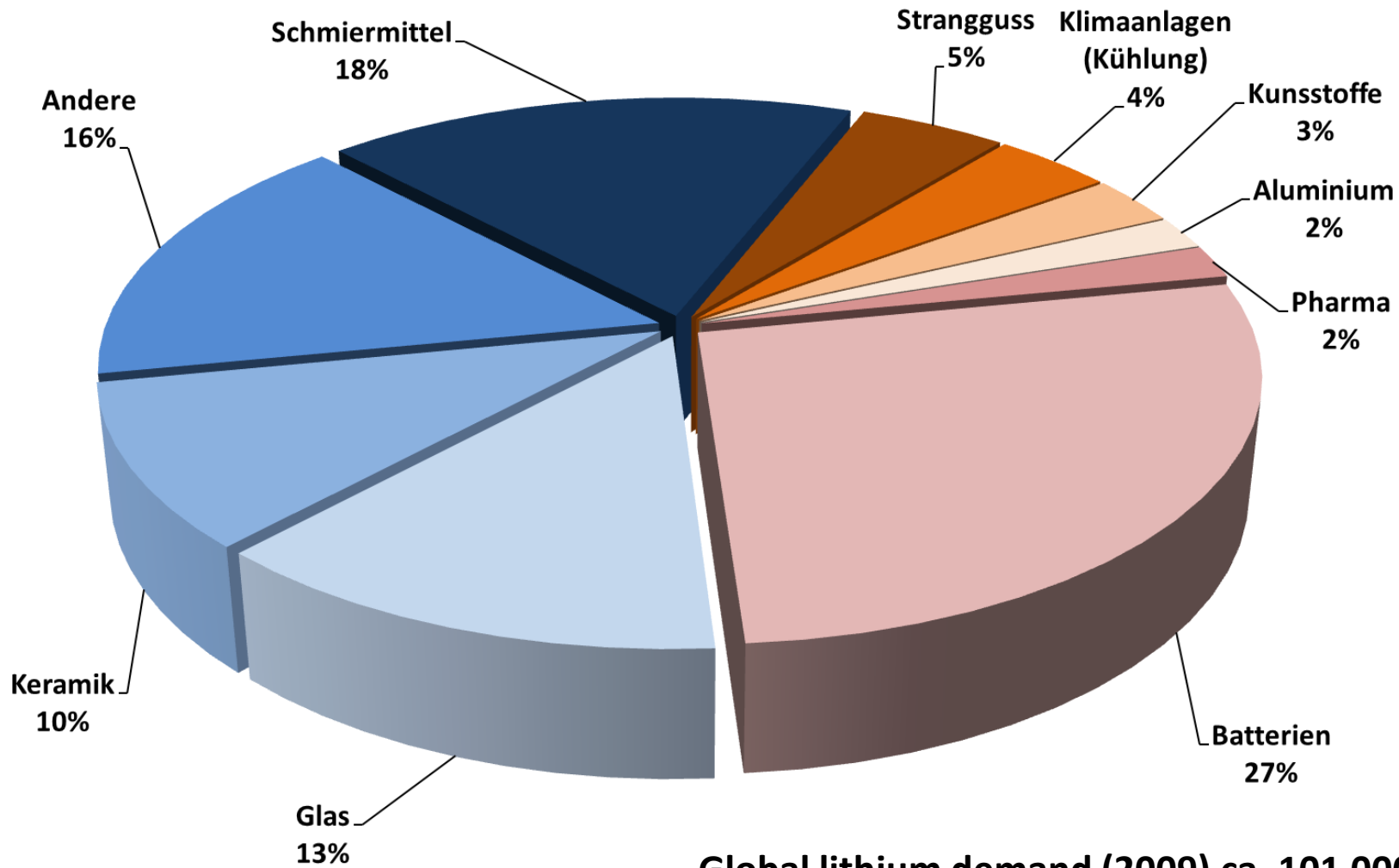
http://www.ifam.fraunhofer.de/en/Bremen/Shaping_Functional_Materials/Equipment.html



Working in dry room: RH% <0,3

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Range of application of lithium



Global lithium demand (2009) ca. 101 000 t
(for batteries ca. 27 000 tons)

Nissan Leaf (Full EV)



ca. 4 kg „lithium“ in the battery pack



<http://cleantechnica.com/files/2014/07/leaf-battery1.jpg>



Production of lithium

„The lithium triangle“

Chile, Bolivia, Argentina



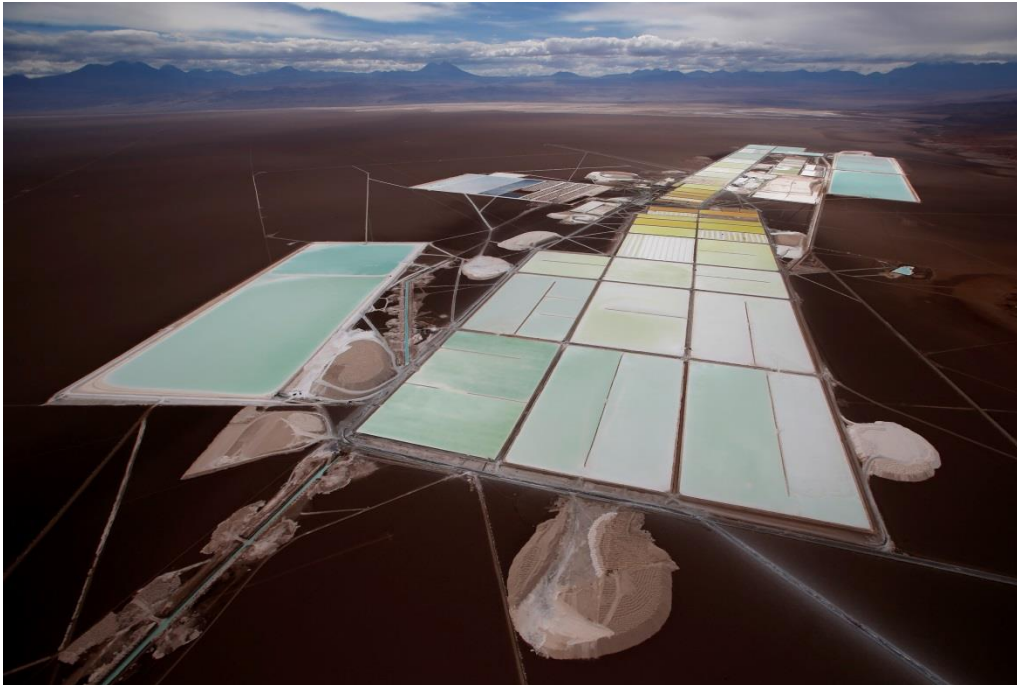
Economist.com

Production of lithium

- 70% from salt water (brine) (residual from rocks)
- 750 t brine → ~1 t Li (in 24 month procedure)

„The lithium triangle“ - Chile, Bolivia, Argentina

2nd largest salt flat on Earth and
World-wide largest lithium deposit, i.e.,
about 25% of the Earth's resources (!)



Rockwood Lithium, Antofagasta, Atacama Wüste, Chile



Rockwood Lithium Plant, Antofagasta , Atacama Wüste, Chile

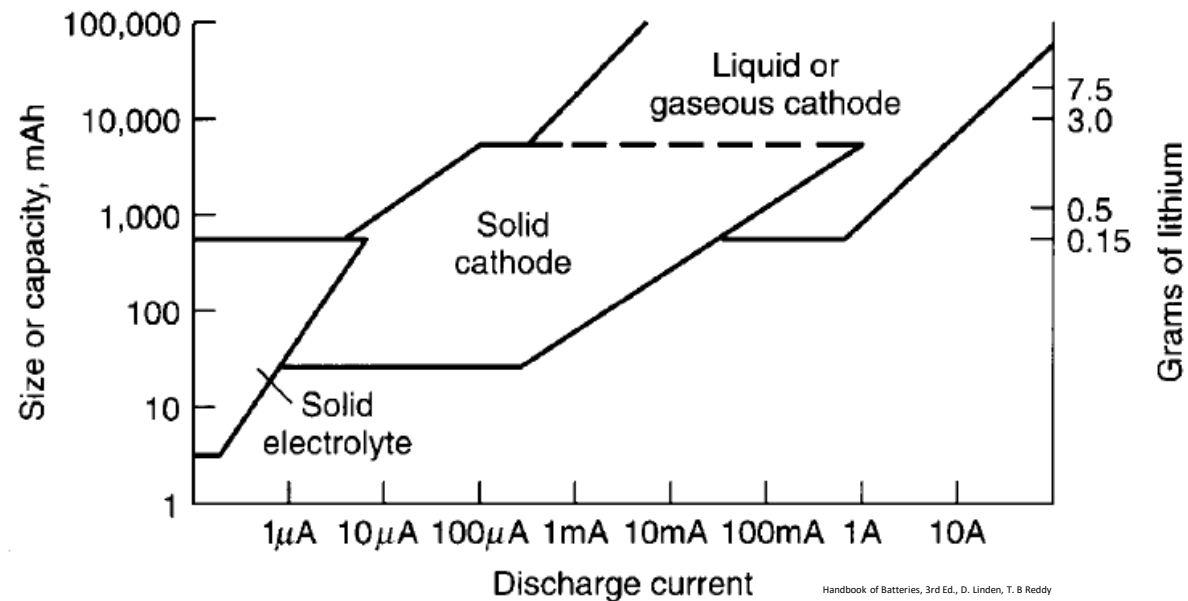
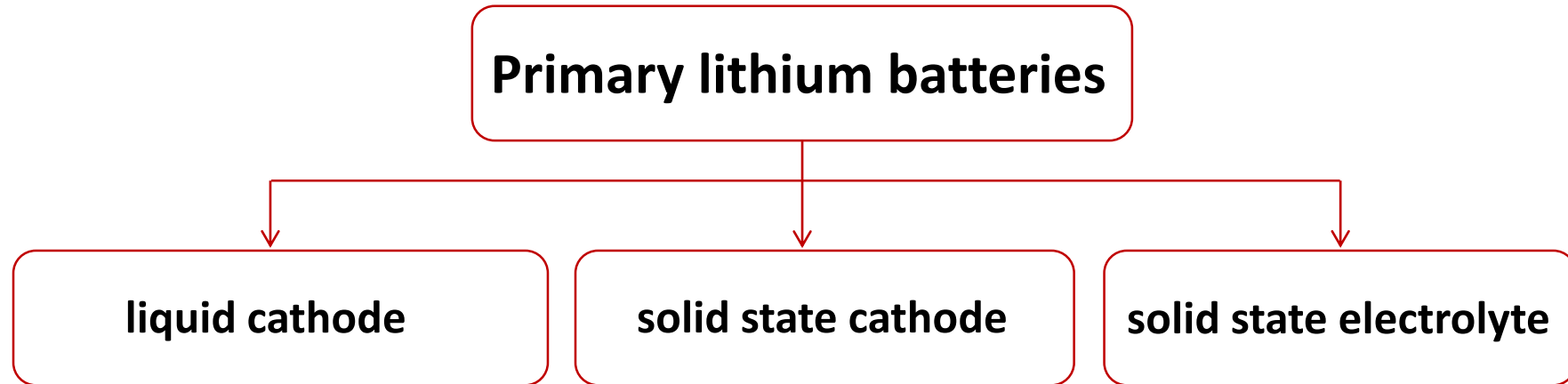


Rockwood Lithium, Antofagasta, Atacama Wüste, Chile

Bildnachweis: <http://blogs.reuters.com/photographers-blog/2013/04/05/the-lithium-triangle/>

Primary lithium batteries

Classification of the primary lithium systems



Handbook of Batteries, 3rd Ed., D. Linden, T. B Reddy

Primary lithium batteries with liquid cathode

Lithium-Schwefeldioxid-Zelle, Li/SO₂

Anode: Lithium Metall

Kathode: SO₂ / hochporöser Kohlenstoff

Elektrolyt: SO₂/Acetonitril/LiBr

Ruhespannung: 3,0 V

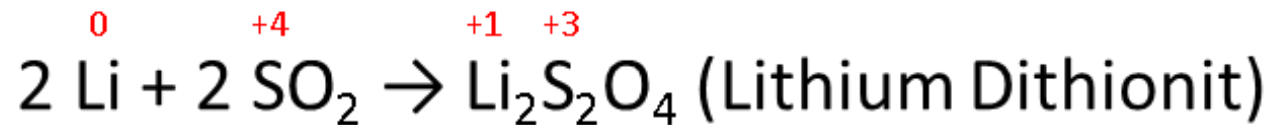
ca. 260 Wh/kg, 415 Wh/l

Hochstrom/Niedrig-temperatur Anwendungen

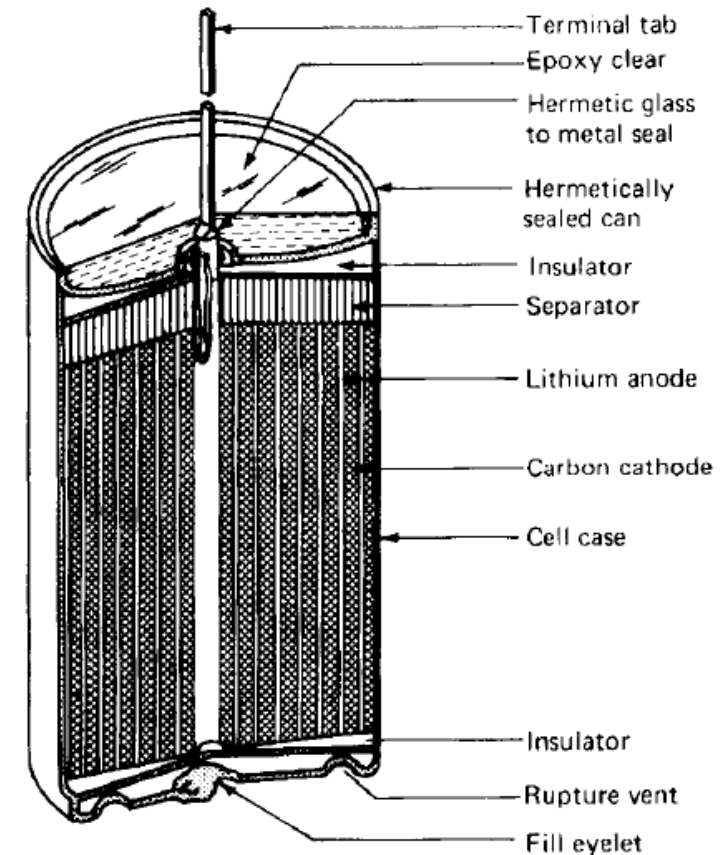
Zelle unter Druck: 3-4 Bar

Temperaturbereich: -40 - +55°C

Reaktion (Gesamt):



Meistens „kathodenlimitiertes“ Entladeprozess



Primary lithium batteries with liquid cathode

Lithium-Thionylchlorid-Zelle, Li/SOCl₂

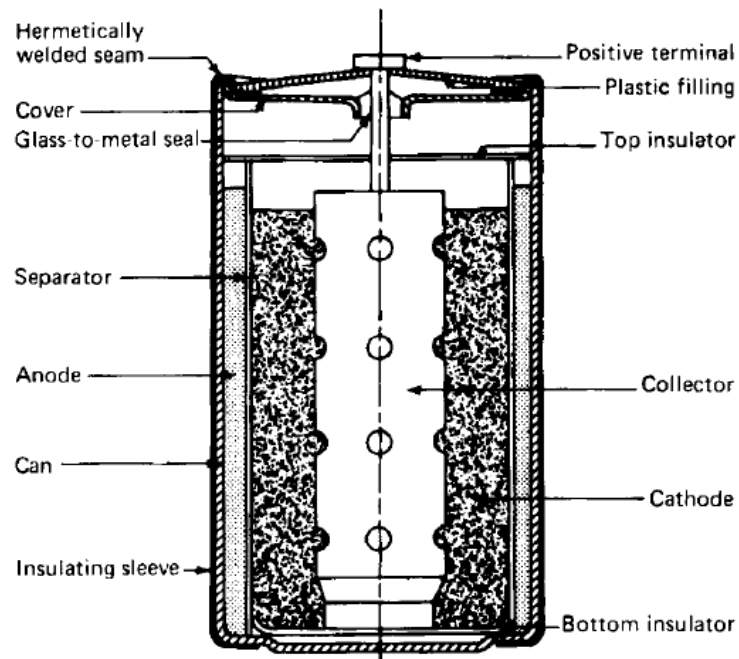
Anode: Lithium Metall

Kathode: SOCl₂ / hochporöser Kohlenstoff

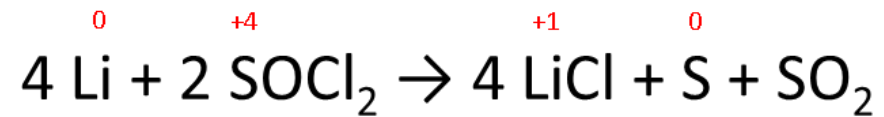
Elektrolyt: SOCl₂/LiAlCl₄ (LiGaCl₄)

Ruhespannung: 3,6 V

Baugrößen: 400 mAh → 10 000 Ah (!)



Reaktion (Gesamt):



Primary lithium batteries with liquid cathode

Lithium-Sulfurylchlorid-Zelle, Li/SO₂Cl₂

Anode: Lithium Metall

Kathode: SO₂Cl₂ / hochporöser Kohlenstoff

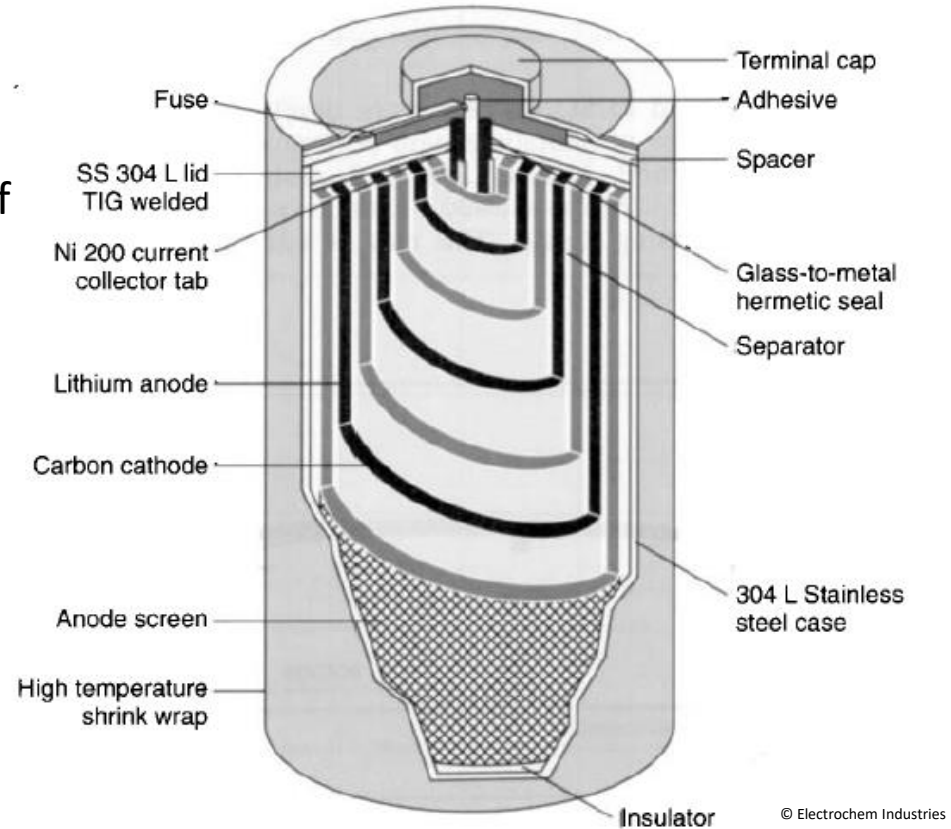
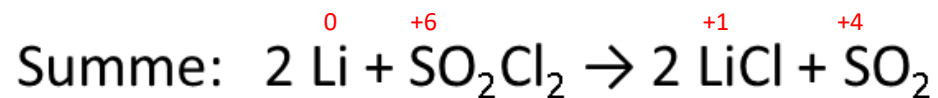
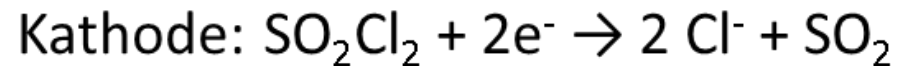
Elektrolyt: SOCl₂/LiAlCl₄

Ruhespannung: 3,90 V (3,95V)

Temperaturbereich: -30 - +90°C

Additive: Cl₂ (für höhere U (3,95V),
Wh/kg, Wh/l, sicherer Betrieb)

Reaktionen



Primary lithium batteries with solid state cathode

Lithium-Eisensulfide-Zelle, Li/FeS₂

Anode: Lithium Metall

Kathode: FeS₂

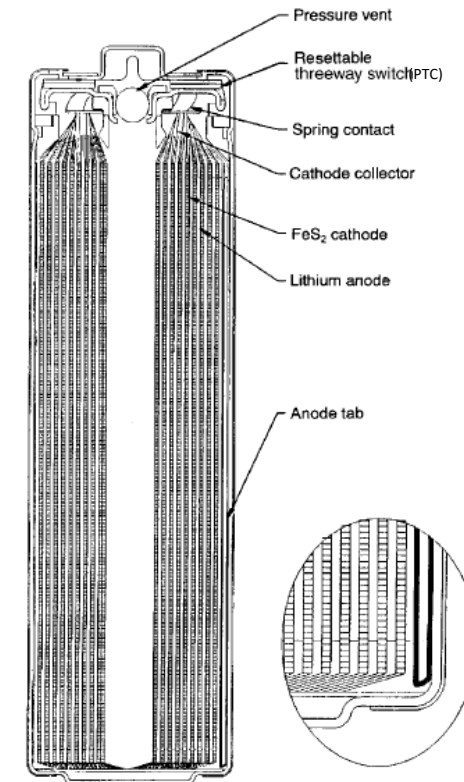
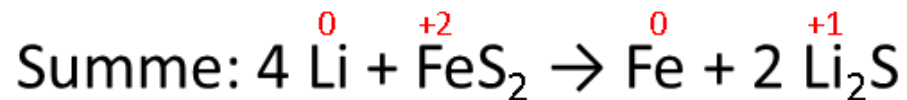
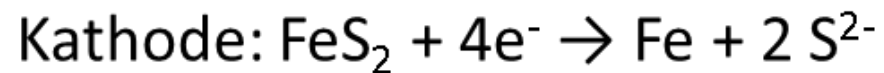
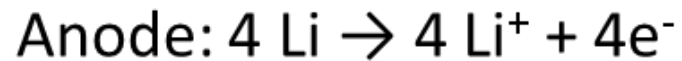
Elektrolyt: LiI/Solvent

Ruhspannung: 1,80 V

Nennspannung: 1,50 V

Temperaturbereich: -40 - +60°C

Reaktionen



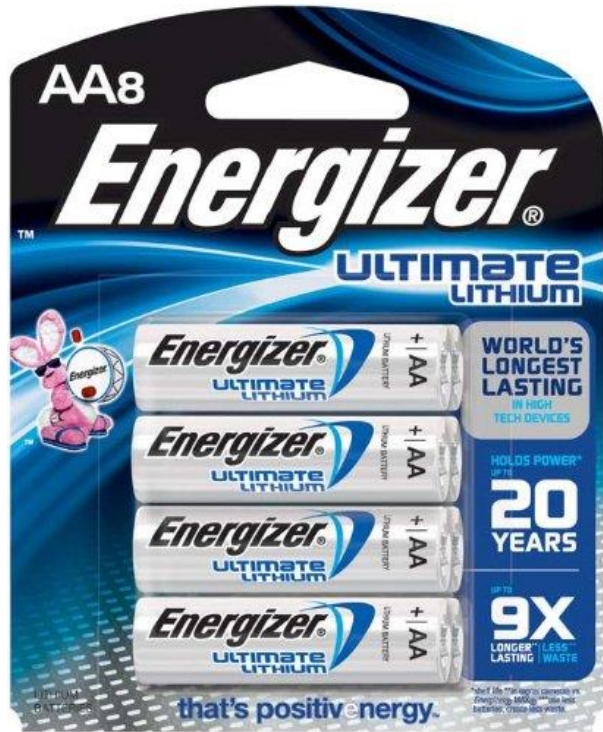
Gute Hochstrom/Niedrig-temperatur
Leistungsfähigkeit

Primary lithium batteries with solid state cathode

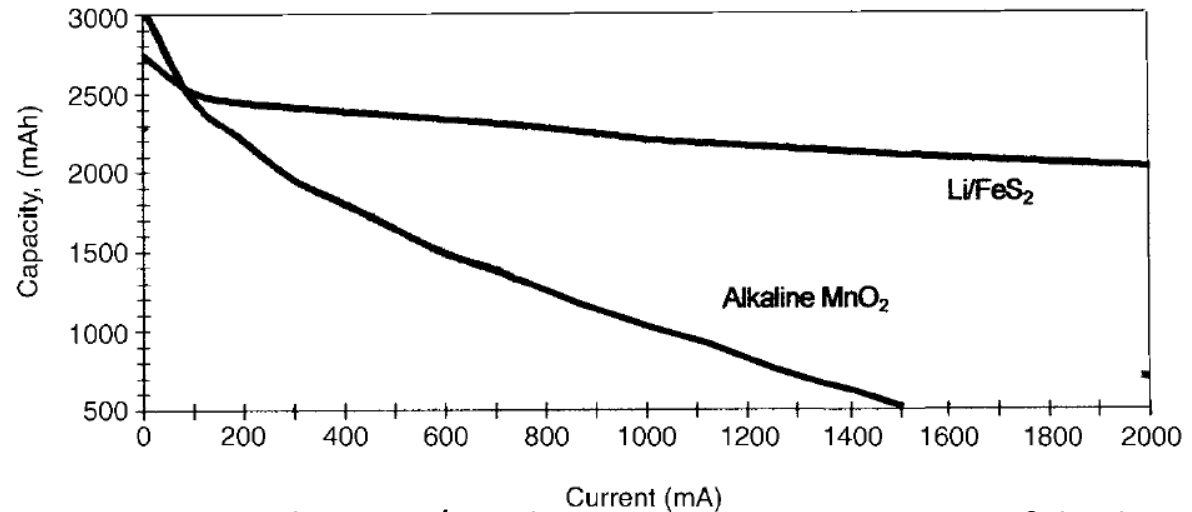
Lithium-Eisensulfide-Zelle, Li/FeS₂

Entladestrom vs. Entladekapazität, AA-Größe, @ 21°C

(Eveready Battery Co, Inc.)



conrad.de



Bessere Hochstrom/Niedrig-temperatur Leistungsfähigkeit als Zn/MnO₂ Zellen



Primary lithium batteries with solid state cathode

Lithium-Manganoxid-Zelle, Li/MnO₂

Anode: Lithium Metall

Kathode: MnO₂

Elektrolyt: LiClO₄ in PC/1,2-Dimethoxyethane

Nennspannung: 3,0 V (cut-off: 2 V)

Ruhespannung: 3,3 V

Temperaturbereich: -20 - +55°C

Spezifische Energie: 230 Wh/kg

Energiedichte: 530 Wh/l

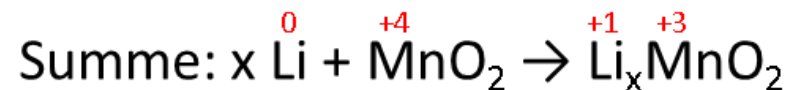
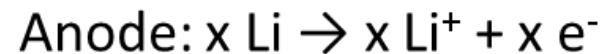
+ Kein „voltage delay“

+ Gute Lagerfähigkeit

(Selbstentladung <1%/Jahr)



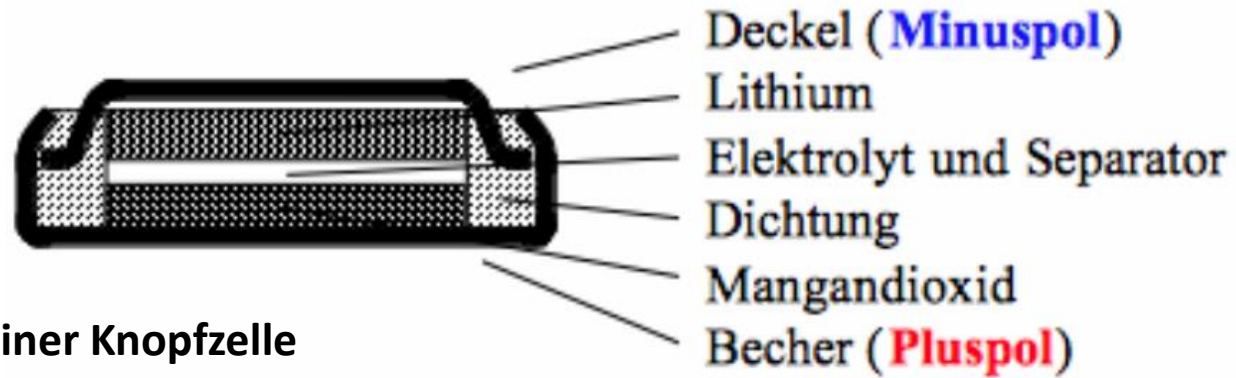
Reaktionen



(Interkalation)

Primary lithium batteries with solid state cathode

Lithium-Manganoxid-Zelle, Li/MnO₂



Prinzipieller Aufbau einer Knopfzelle

- Bauformen:**
- Knopfzelle
 - Massezelle
 - Wickelzelle

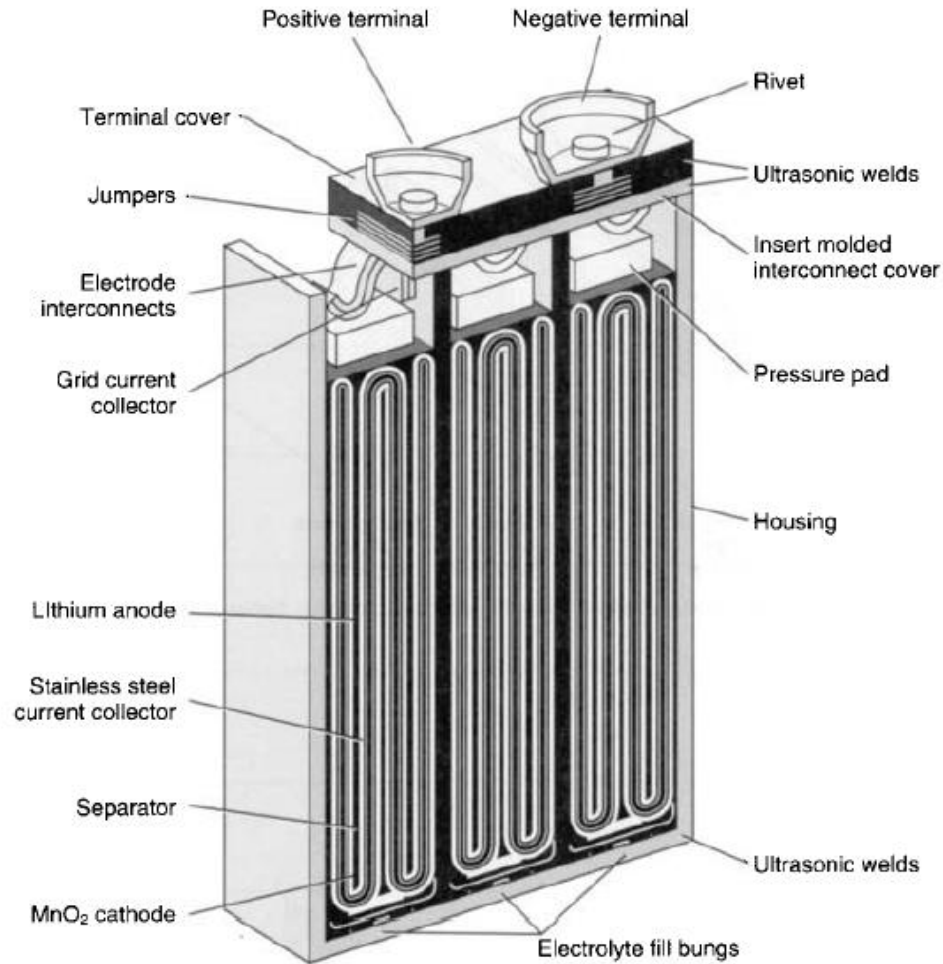


Handbook of Batteries, 3rd Ed., D. Linden, T. B Reddy

Primary lithium batteries with solid state cathode

Lithium-Manganoxid-Zelle, Li/MnO₂

9V-Multizelle-Batterie



Primary lithium batteries with solid state cathode

Lithium-Kohlenstoff-Monofluorid-Zelle, $\text{Li}/(\text{CF})_x$

Anode: Lithium Metall

Kathode: Poly-Kohlenstoff Monofluorid $(\text{CF})_x$

Elektrolyt: $\text{LiBF}_4/\text{LiClO}_4$ in PC/Dimethoxyethane

Nennspannung: 2,5 - 2,7 V

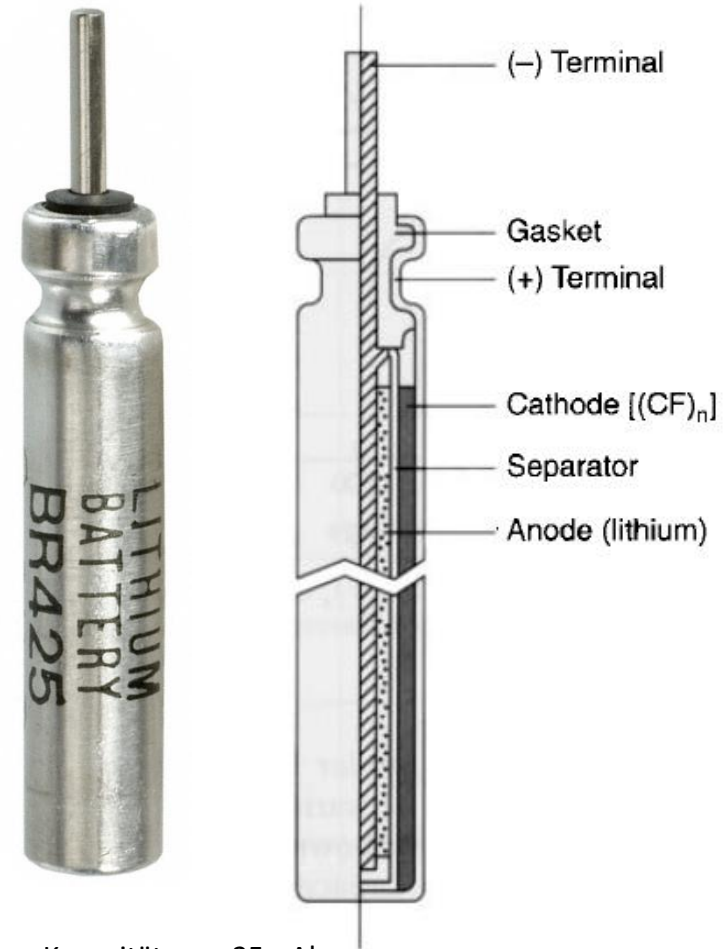
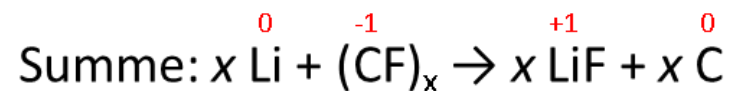
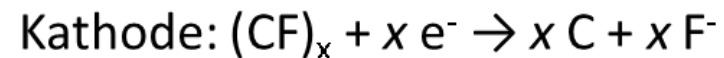
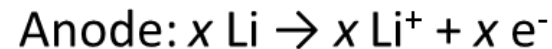
Ruhespannung: 3,2 V

Lagerfähigkeit: 10+ Jahre

Spezifische Energie: 250 Wh/kg (590 Wh/kg, Großformat)

Energiedichte: 635 Wh/l (1050 Wh/l, Großformat)

Reaktionen



Kapazität	25mAh
Größe	DxH: 4,2 x25,9 mm
Abschlussart	Leiterplattenstift
Entladerate	500µA
Gewicht	0,57g

Nomenclature of the primary lithium batteries

Nomenclature by IEC
(International Electrotechnical Commission)

„BR“: B = Li/(CF)_n, R = Round

„CR“: C = Li/MnO₂, R = Round

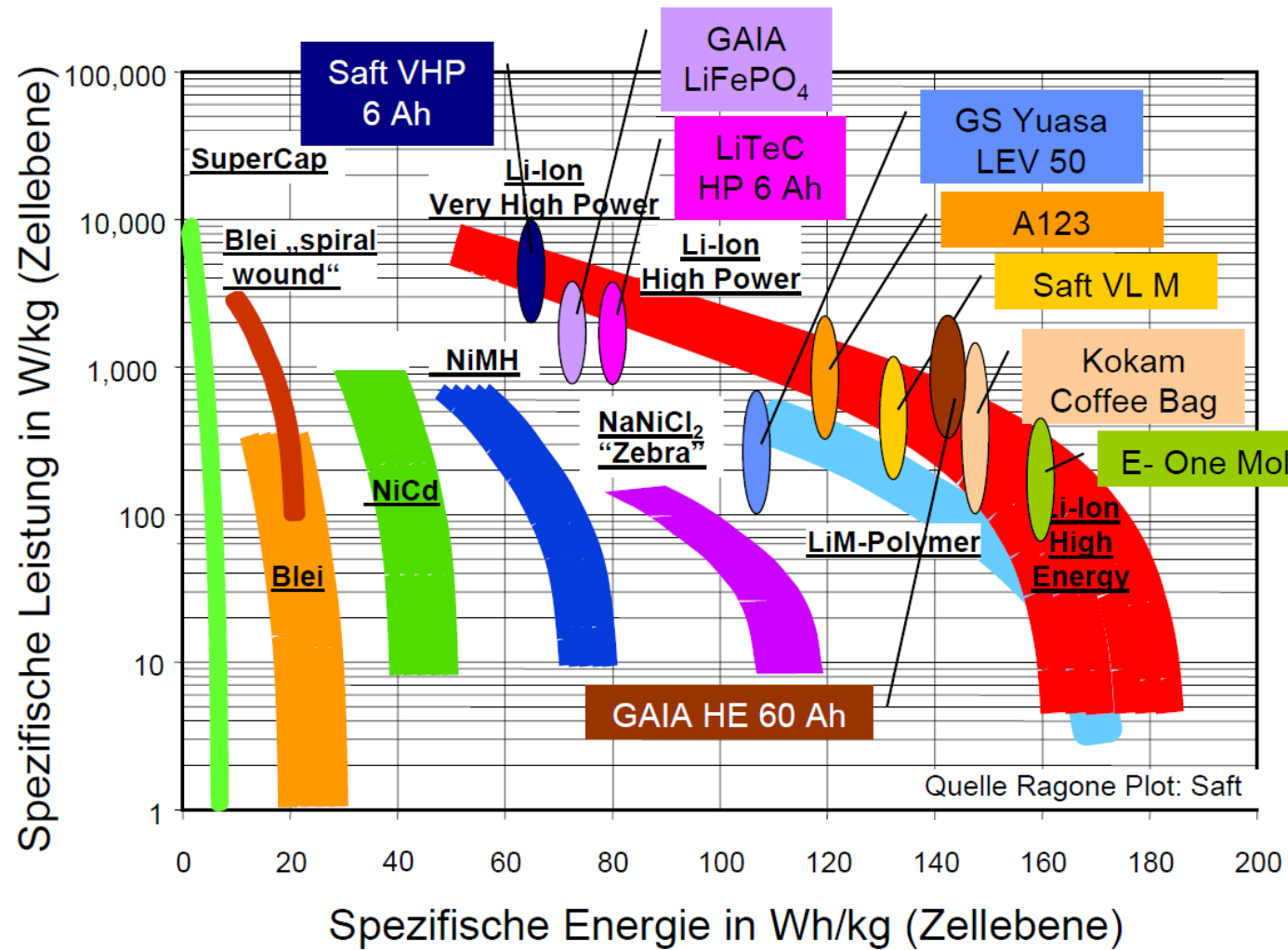
„ER“: E = Li/SOCl₂, R = Round

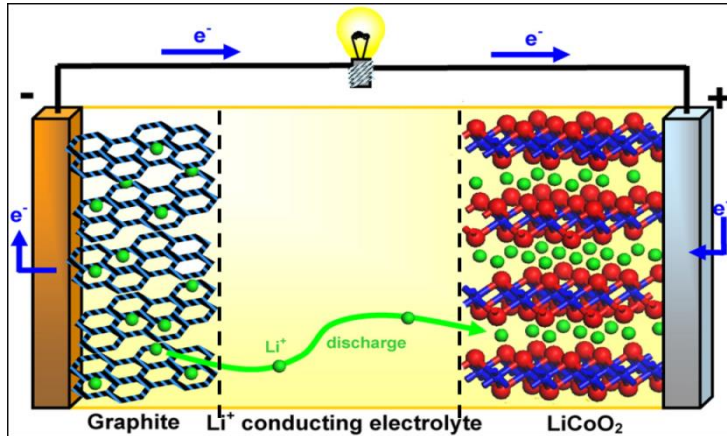
„FR“: F = Li/FeS₂, R = Round



Secondary Li-ion Systems

A „Ragone-plot“

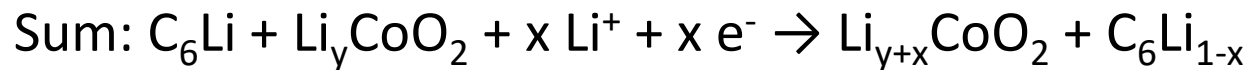




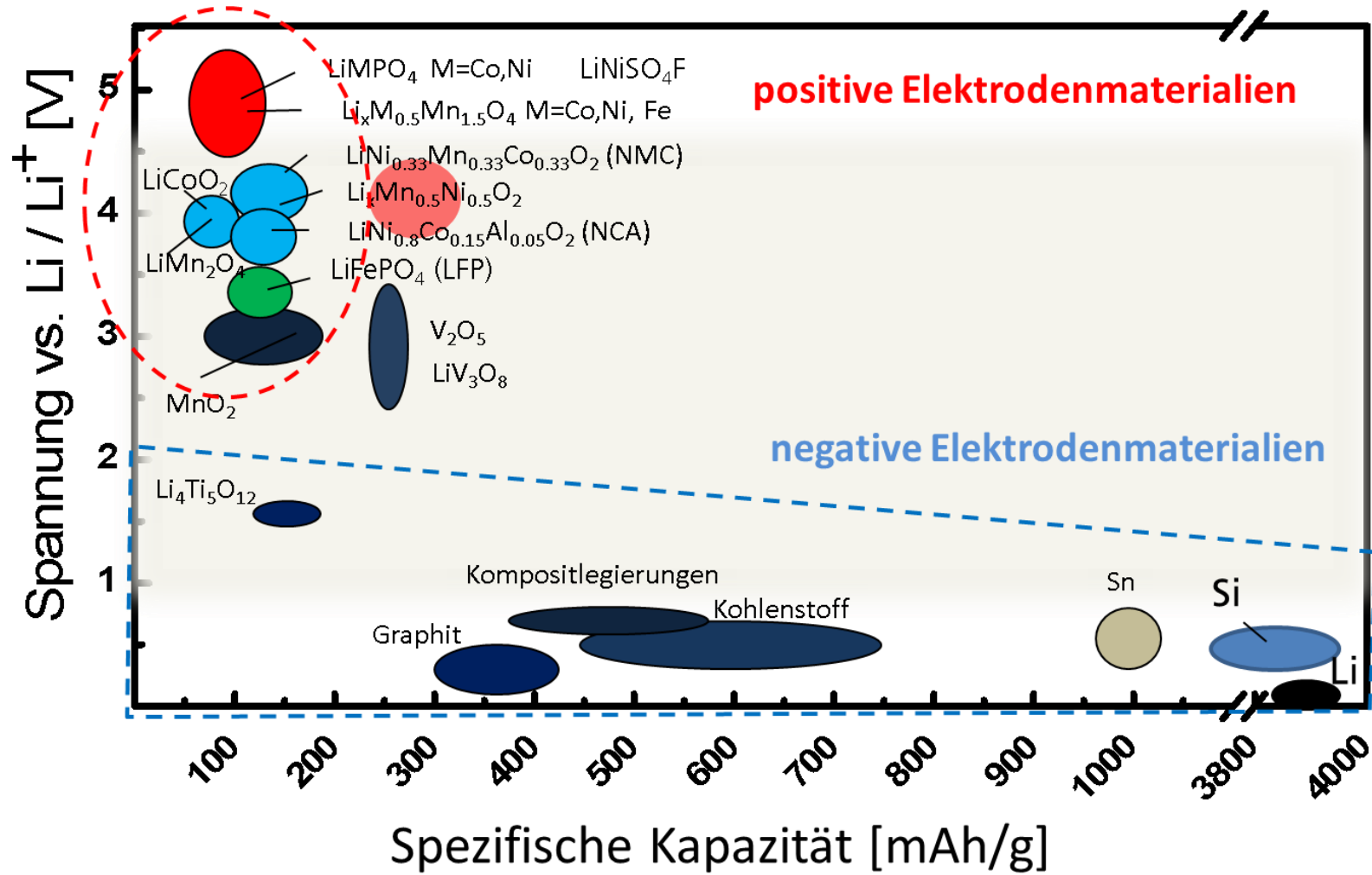
Quelle: Bruce, Solid State Ionics 179 (2008) 752-760

The „Rocking Chair Principle”

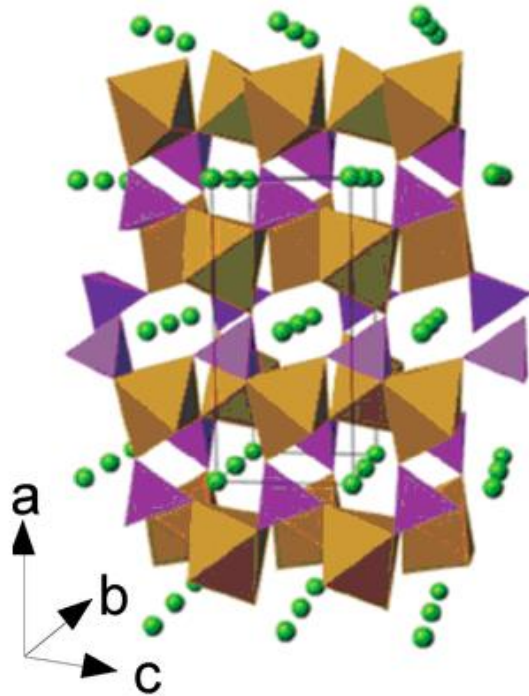
Discharge reaction (example):



Cathode and anode materials in Li-ion batteries

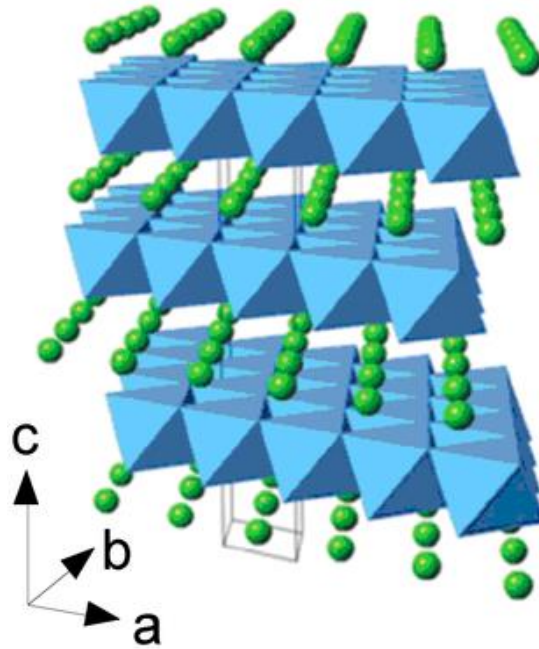


Olivine-structure



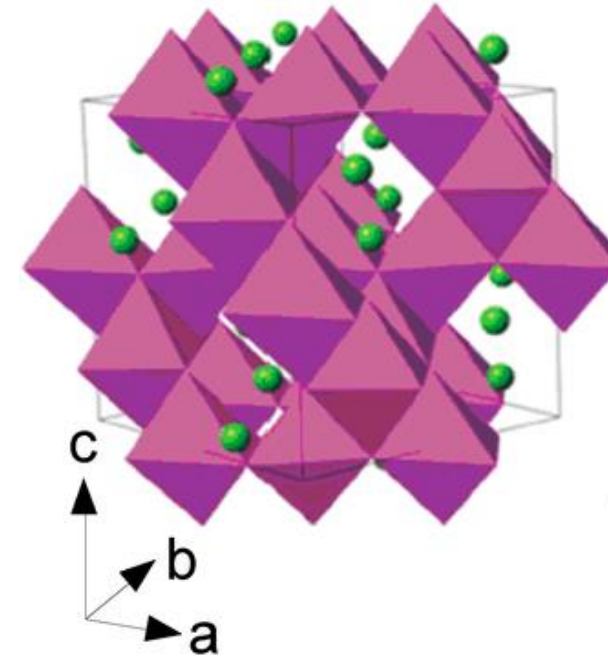
Olivine LiFePO_4 (1D)

Layered-structure



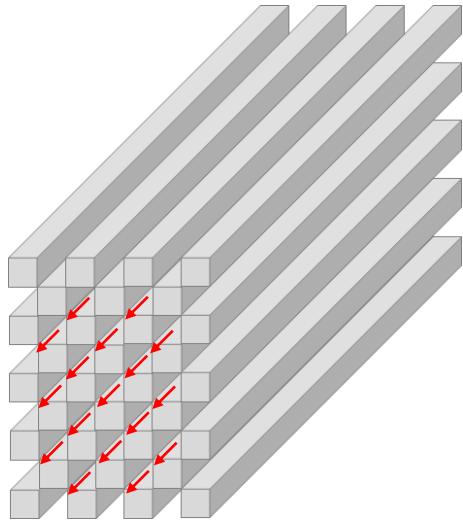
Layered oxide LiCoO_2 (2D)

Spinel-structure



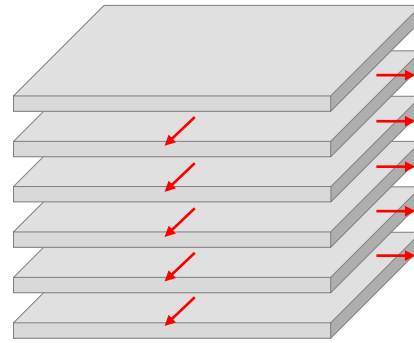
Cubic spinel LiMn_2O_4 (3D)

Olivine-structure



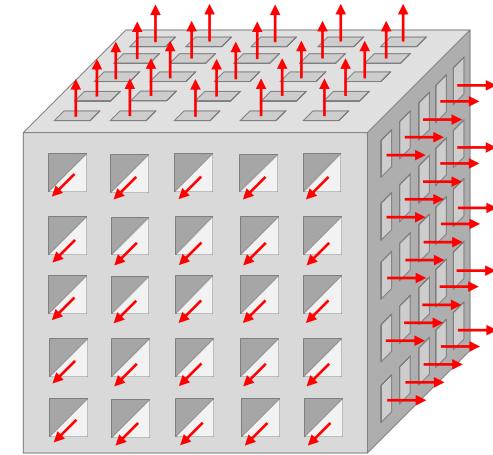
Olivine (1D)

Layered-structure



Layered oxide (2D)

Spinel-structure

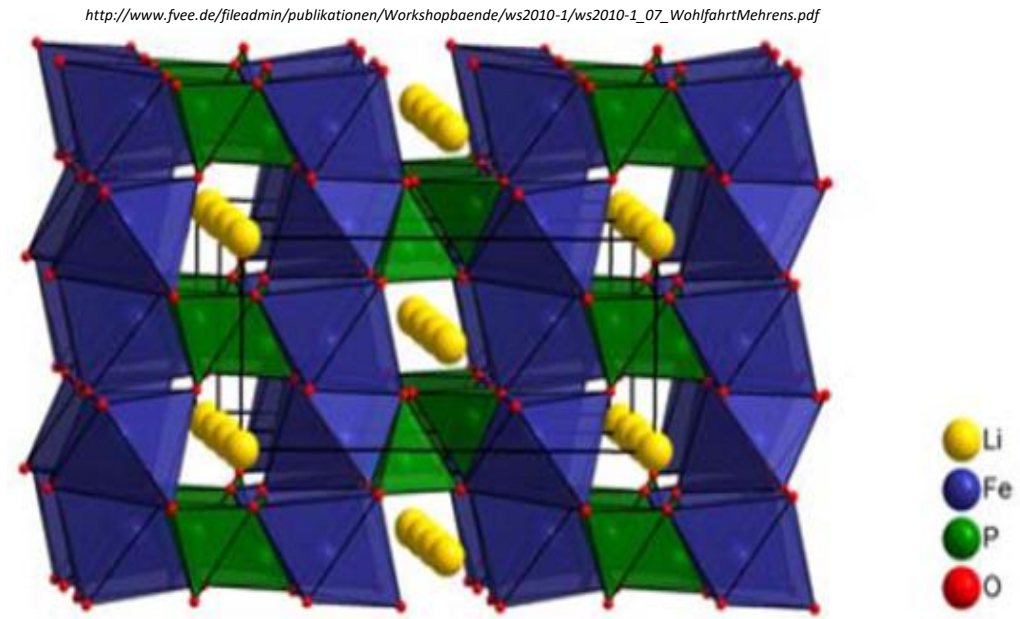


Spinel (3D)

Dimensionality of the Li-ion transport in solids

LiFePO₄ - Lithium-iron(II)-phosphate (LFP)

- ✓ environmental friendly
- ✓ cheap
- ✓ high theoretical capacity
- ✓ high stability/high safety
- ✓ „overcharge-resistant”

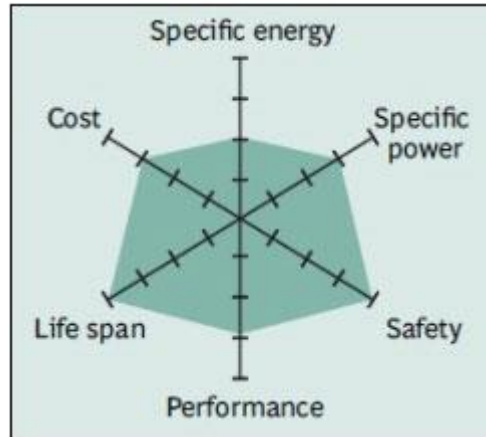


✗ very poor electronic and ionic conductivity

Structure

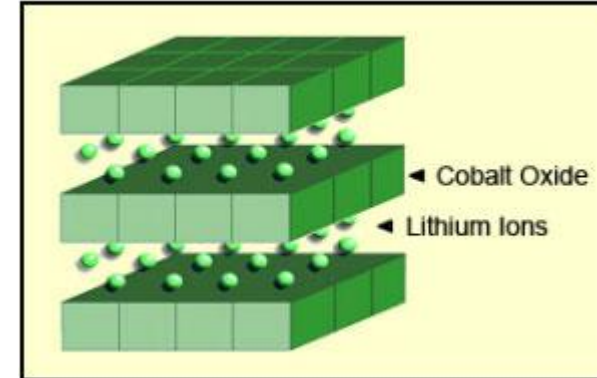
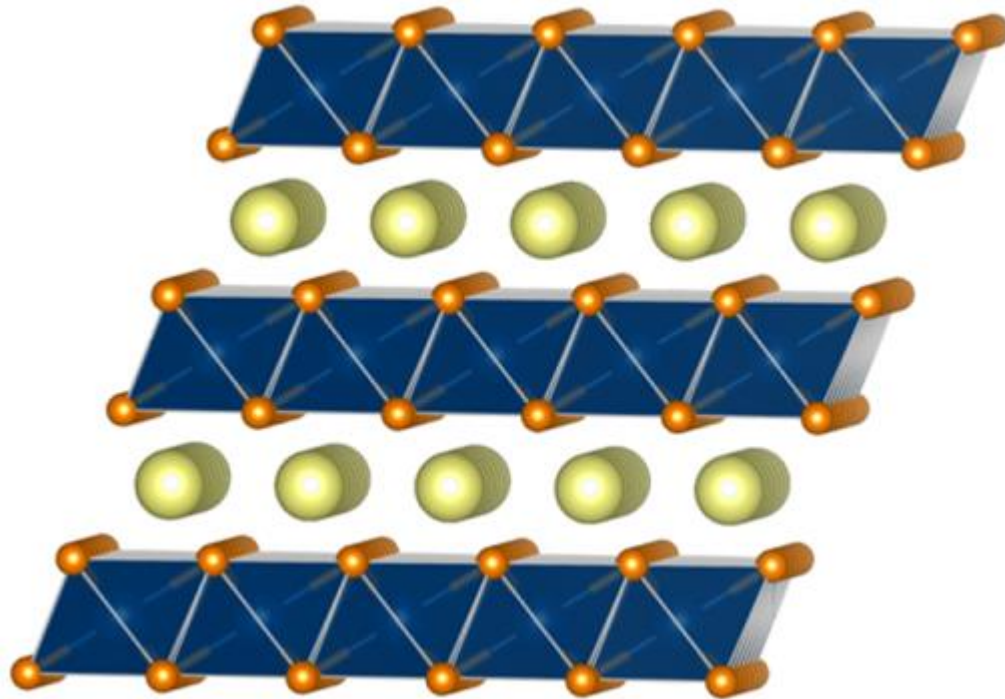
- Olivine-structure
- FeO₆ octahedrons
- PO₄ tetrahedrons

LiFePO₄ - Lithium-iron(II)-phosphate - summary



Lithium Iron Phosphate: LiFePO ₄ , Graphite anode, Since 1996 Short form: LFP or Li-phosphate	
Voltage, nominal	3.20V, 3.20V
Specific energy (capacity)	90–120Wh/kg
Charge (C-rate)	1C typical; 3.65V peak; 3h charge time
Discharge (C-rate)	25-30C continuous, 2V cut-off (lower than 2V causes damage)
Cycle life	1000–2000 (related to depth of discharge, temperature)
Thermal runaway	270°C (518°F) Very safe battery even if fully charged
Applications	Portable and stationary needing high load currents and endurance
Comments	Very flat voltage discharge curve but low capacity. One of safest Li-Ions. Elevated self-discharge

LiCoO_2 - Lithium-cobalt(III)-oxide (LCO)



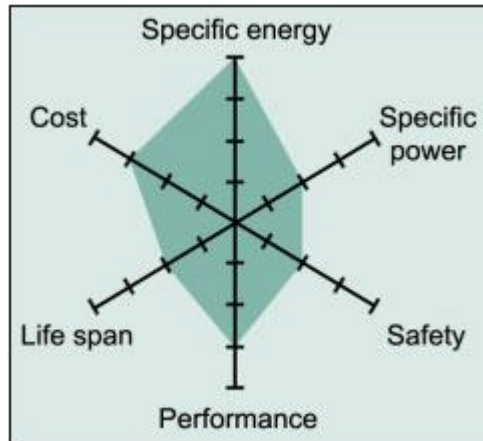
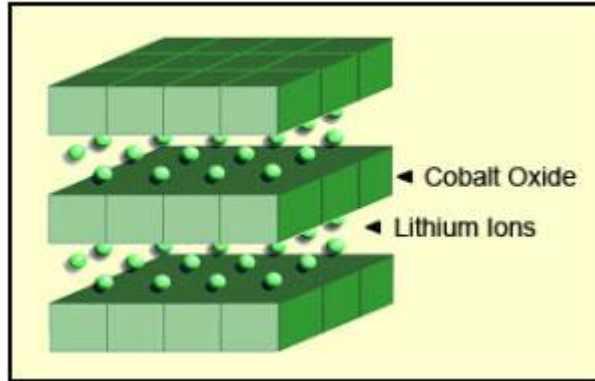
B.C. Melot, L.-M. Tarascon, *Acc. Chem. Res.*, **2012**, 46, 1227

LiCoO₂ - Lithium-cobalt(III)-oxide

- ✓ very high theoretical capacity (ca. 274 mAh/g)
- ✓ high energy density material
- ✓ lightweight material

- ✗ High toxicity caused by cobalt
- ✗ Non-environmental friendly, harmful
- ✗ small reversible capacity (130 mAh/g)
- ✗ high costs (see price of cobalt)

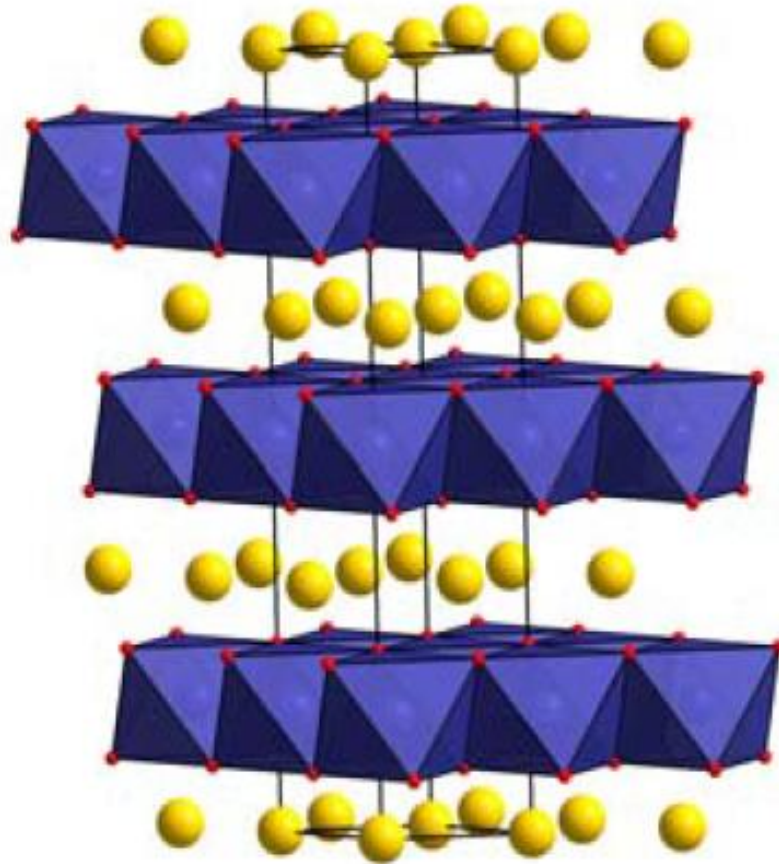
LiCoO₂ - Lithium-cobalt(III)-oxide - summary



Lithium Cobalt Oxide: LiCoO₂ (~60% Co). Graphite anode, Since 1991
Short form: LCO or Li-cobalt.

Voltage, nominal	3.60V
Specific energy (capacity)	150–250Wh/kg
Charge (C-rate)	0.8C, 1C maximum, 4.20V peak (most cells); 3h charge typical
Discharge (C-rate)	1C; 2.50V cut off
Cycle life	500–1000, related to depth of discharge, load, temperature
Thermal runaway	150°C (302°F). Full charge promotes thermal runaway
Applications	Mobile phones, tablets, laptops, cameras
Comments	Very high specific energy, limited specific power. Cobalt is expensive. Serves as Energy Cell.

LiNiO₂ - Lithium-nickel(III)-oxide (LNO)



Structure

- similar to LiCoO₂
- Ccp der O²⁻
- edge-sharing NiO₆-octahedrons
- Li-ions intercalate between the layers



http://www.fvee.de/fileadmin/publikationen/Workshopbaende/ws2010-1/ws2010-1_07_WohlfahrtMehrens.pdf

LiNiO₂ - Lithium-nickel(III)-oxide

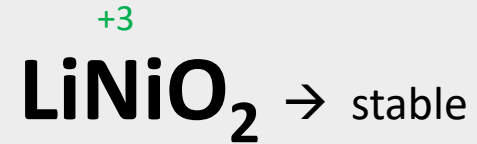
- ✓ less toxic compared to LiCoO₂
- ✓ cheaper than LiCoO₂
- ✓ higher reversible capacity, > 150 mAh/g
- ✓ high energy density

- ✗ difficult preparation process (i.e., Ni³⁺)
- ✗ poor chemical stability
- ✗ higher safety risk

LiNiO₂ - Lithium-nickel(III)-oxide

The source of the poor chemical stability

LiNiO₂ is stable in air and also at higher temperatures



Problems in use in the battery cell

on charging process:

- deintercalation of Li⁺-ions \rightarrow Li_{1-x}NiO₂

$X \leq 1 \rightarrow$ oxidation number changes (+3 \rightarrow +4)

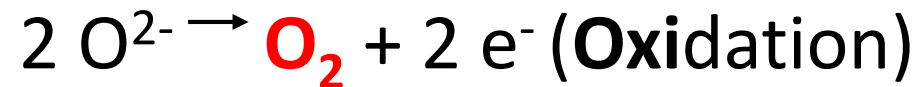
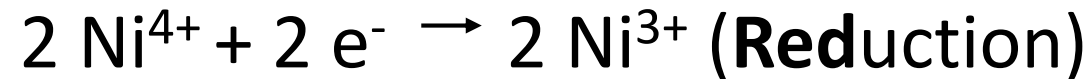


- Ni⁴⁺ is non-stable \rightarrow strong oxidation agent

LiNiO₂ - Lithium-nickel(III)-oxide

The result: internal redox reaction occurs!

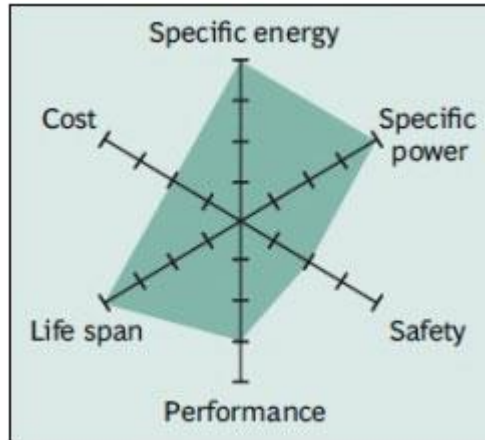
Ni⁴⁺ oxidizing O²⁻ ions → release of oxygen gas



Strong exothermic reaction!

→ Release of large amount of energy in form of **heat**

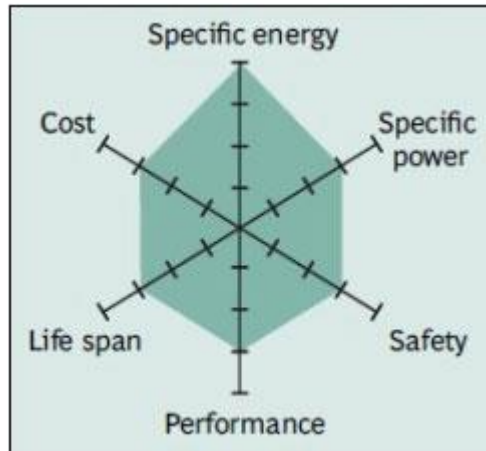
$\text{LiNi}_{0.8}\text{Co}_{0.15}\text{Al}_{0.05}\text{O}_2$ - Lithium-nickel-cobalt-aluminium-oxide (NCA)



Lithium Nickel Cobalt Aluminum Oxide: LiNiCoAlO_2 (~9% Co) Graphite anode
 Since 1999
 Short form: NCA or Li-aluminum.

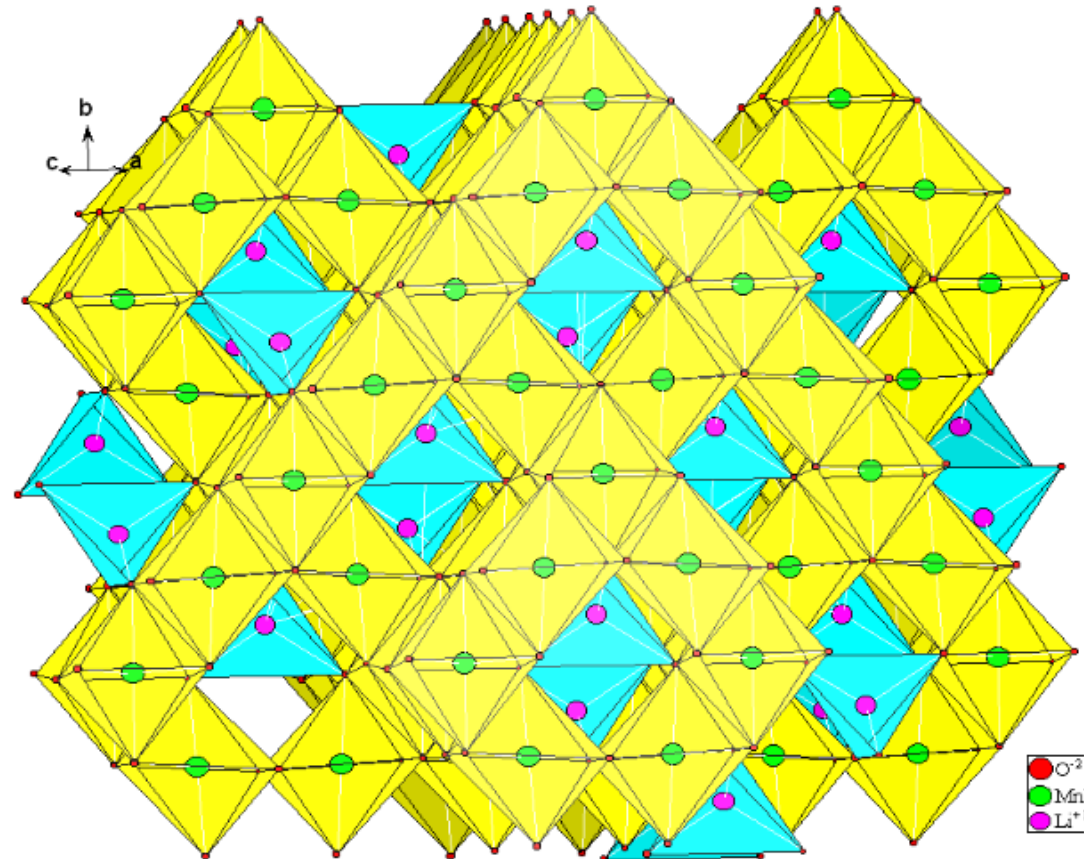
Voltage, nominal	3.60V
Specific energy (capacity)	200-250Wh/kg
Charge (C-rate)	0.5C standard; 4.20V peak (most cells), 3h charge typical
Discharge (C-rate)	1C continuous; 3.00V cut-off
Cycle life	500 (related to depth of discharge, temperature)
Thermal runaway	150°C (302°F) typical, High charge promotes thermal runaway
Applications	Medical devices, industrial, electric powertrain (Tesla)
Comments	Shares similarities with Li-cobalt. Serves as Energy Cell.

$\text{LiNi}_{0.33}\text{Mn}_{0.33}\text{Co}_{0.33}\text{O}_2$ - Lithium-nickel-manganese-cobalt-oxide (NMC)



Lithium Nickel Manganese Cobalt Oxide: LiNiMnCoO_2. Graphite anode Since 2008 Short form: NMC (NCM, CMN, CNM, MNC, MCN are similar with different metal combination)	
Voltage, nominal	3.60V, 3.70V
Specific energy (capacity)	150–220Wh/kg
Charge (C-rate)	0.7C, 4.20V peak; 3h charge time
Discharge (C-rate)	2C continuous; 2.50V cut-off
Cycle life	1000–2000 (related to depth of discharge, temperature)
Thermal runaway	210°C (410°F) typical. High charge promotes thermal runaway
Applications	E-bikes, medical devices, EVs, industrial
Comments	Provides high capacity and high power. Serves as Hybrid Cell. This chemistry is often used to enhance Li-manganese.

LiMn_2O_4 - Lithium-manganese(III/IV) oxide (LMO)



LiMn_2O_4 - Lithium-manganese-oxide

- ✓ less toxic
- ✓ higher thermal stability
- ✓ cost-efficient
- ✓ Mn is a frequent element (0,95%)

- ✗ smaller reversible capacity (120 mAh/g)
- ✗ poor chemical stability

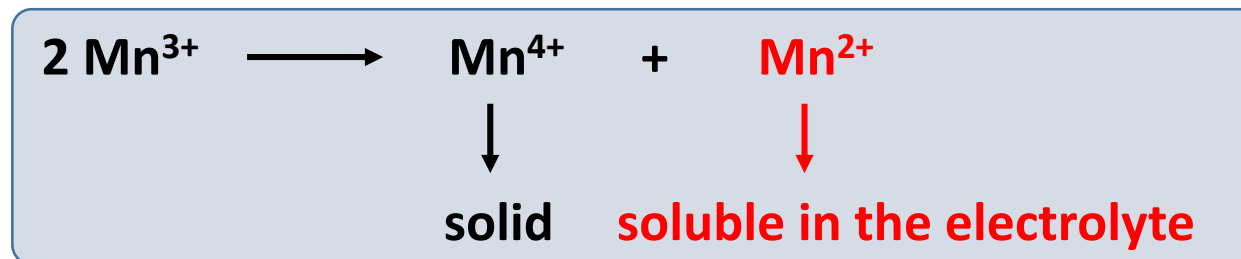
LiMn₂O₄ - Lithium-manganese-oxide

Problem: poor chemical stability

- Li_xMn₂O₄
- changing the oxidation state of Mn by variation of x

x	Compound	Oxidation number of manganese ions
1	Li ₁ Mn ₂ O ₄	+3,5
2	Li ₂ Mn ₂ O ₄	+3
0	Li ₀ Mn ₂ O ₄	+4

Disproportionation von Mn⁺³



LiMn_2O_4 - Lithium-manganese-oxide

Problem: poor chemical stability

Transport of Mn^{2+} to the anode



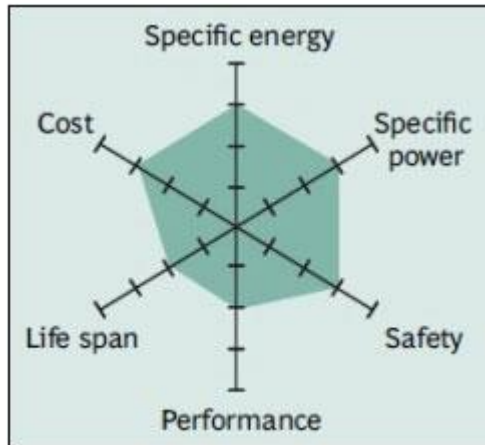
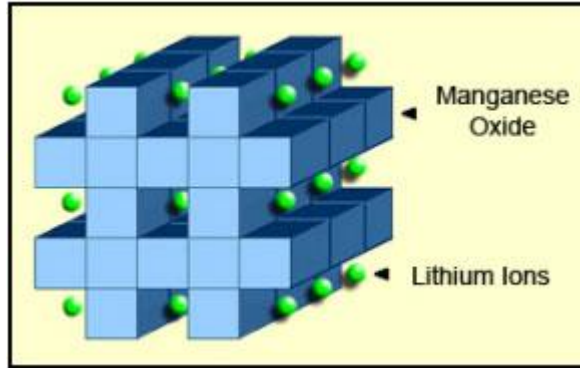
Deposition



Oxidation of Li by Mn^{2+}



LiMn₂O₄ - Lithium-manganese-oxide - summary

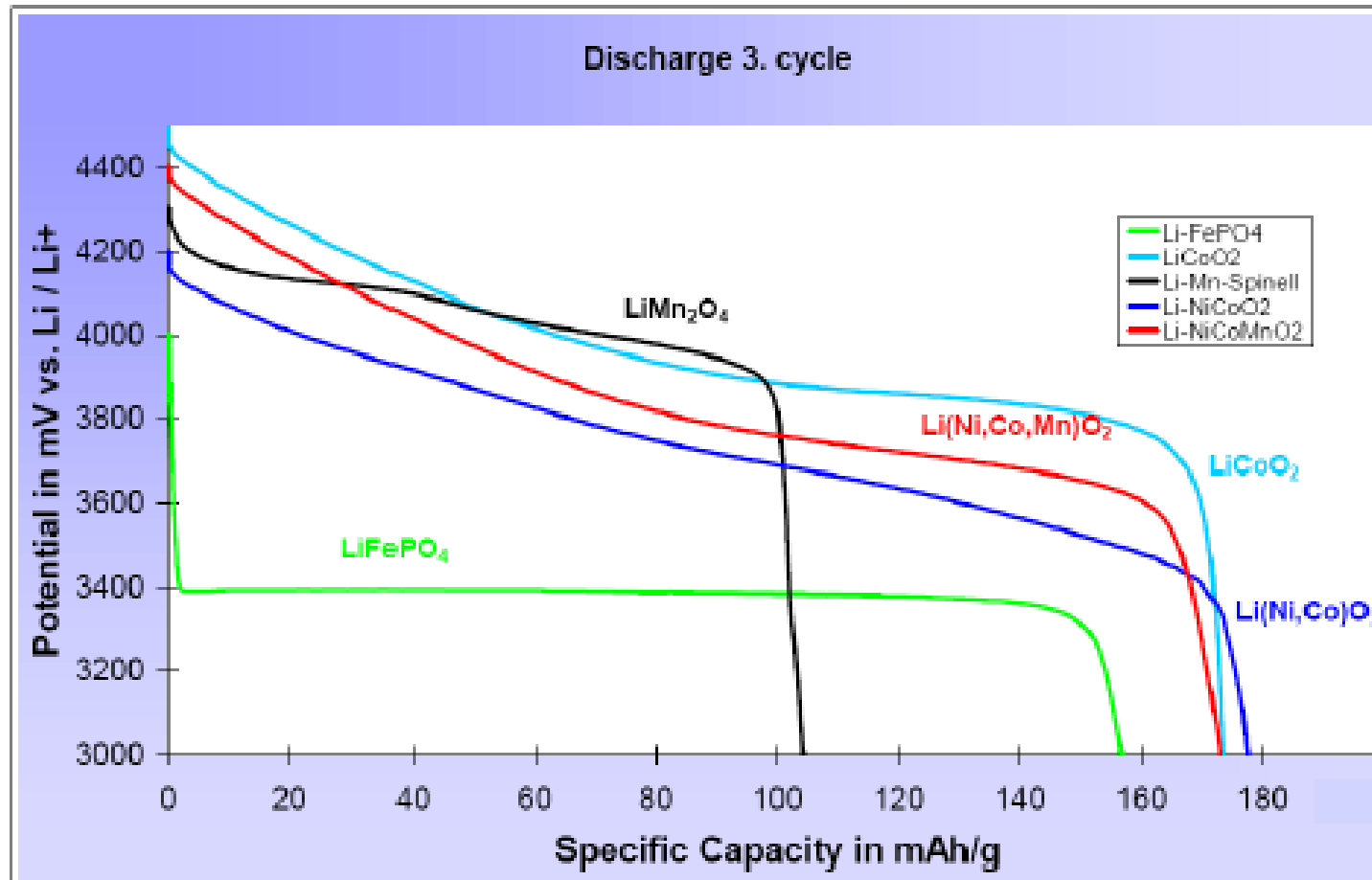


Lithium Manganese Oxide: LiMn₂O₄, Graphite anode, Since 1996 Short form: LMO or Li-manganese (spinel structure)	
Voltage, nominal	3.70V (some may be rated 3.80V)
Specific energy (capacity)	100–150Wh/kg
Charge (C-rate)	0.7–1C recommended, 3C maximum; 4.20V peak (most cells)
Discharge (C-rate)	10C continuous, 30C for 5s pulse, 2.50V cut-off
Cycle life	500–1000 (related to depth of discharge, temperature)
Thermal runaway	250°C (482°F) typical. High charge promotes thermal runaway
Applications	Power tools, medical devices, electric powertrains
Comments	High power but less capacity; safer than Li-cobalt; commonly mixed with NMC to improve performance.

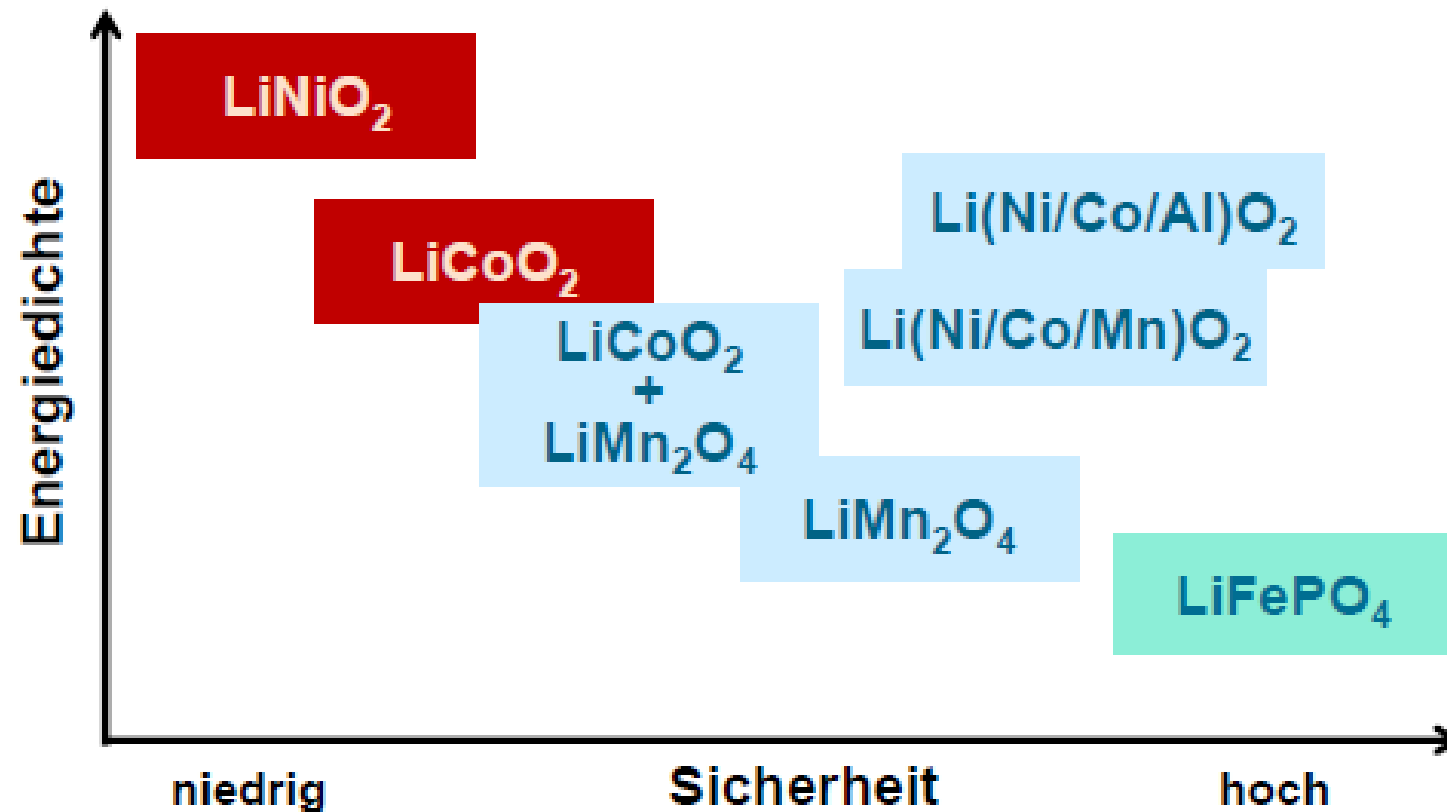
Material	Spannung	Spezifische Kapazität	Spezifische Energie
LiCoO_2	3,7 V	140 mAh/g	0,518 kWh/kg
LiNiO_2	3,5 V	180 mAh/g	0,630 kWh/kg
$\text{LiCo}_{1/3}\text{Ni}_{1/3}\text{Mn}_{1/3}\text{O}_2$	3,6 V	160 mAh/g	0,576 kWh/kg
$\text{Li}(\text{Li}_a\text{Ni}_x\text{Mn}_y\text{Co}_z)\text{O}_2$	4,2 V	220 mAh/g	0,920 kWh/kg
LiMn_2O_4	4,0 V	100 mAh/g	0,400 kWh/kg
LiFePO_4	3,3 V	150 mAh/g	0,495 kWh/kg
$\text{Li}_2\text{FePO}_4\text{F}$	3,6 V	115 mAh/g	0,414 kWh/kg

Different performance, costs and environmental impact

Unterschiedliche Potentiallagen

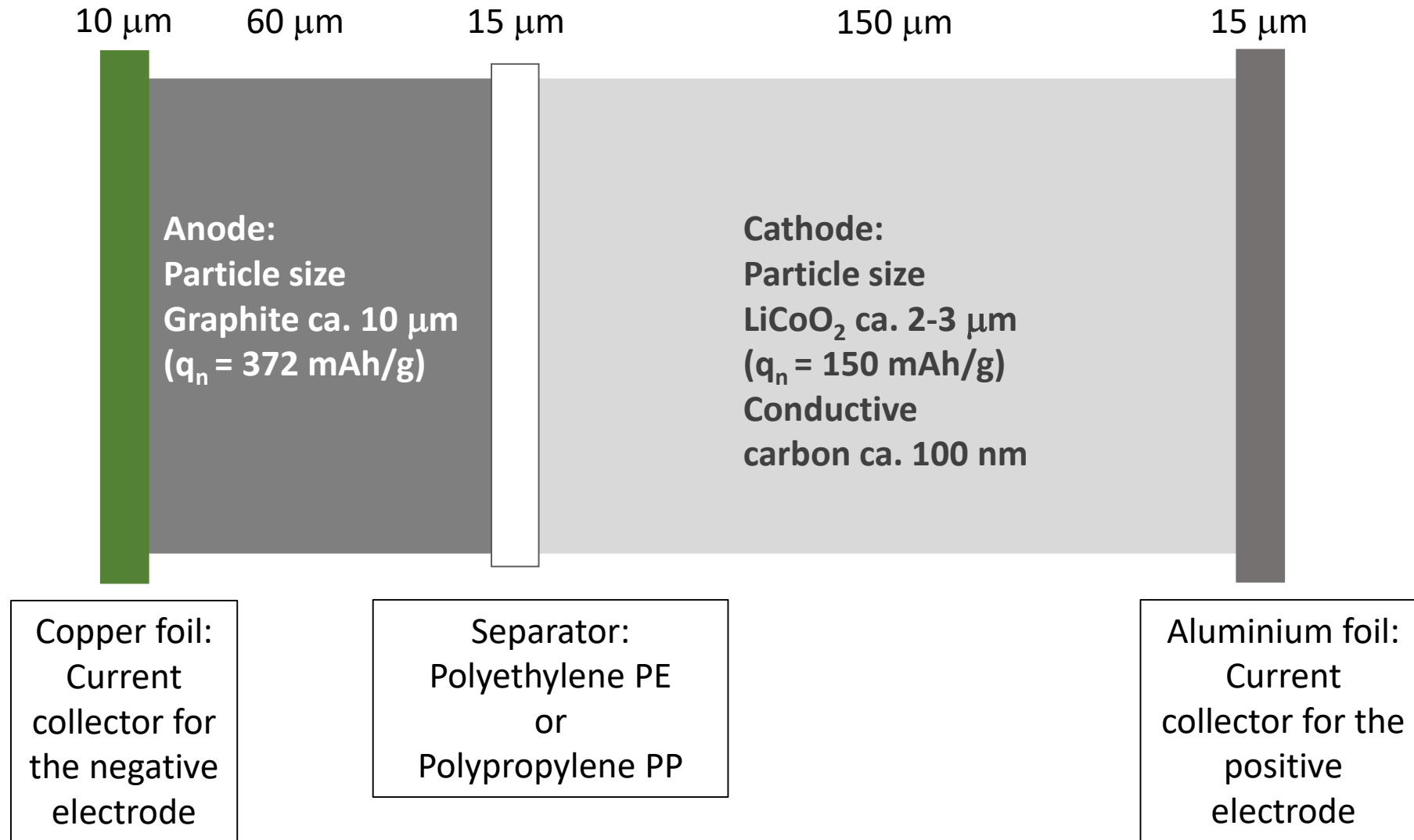


Einige Materialien für die positive LIB-Elektrode



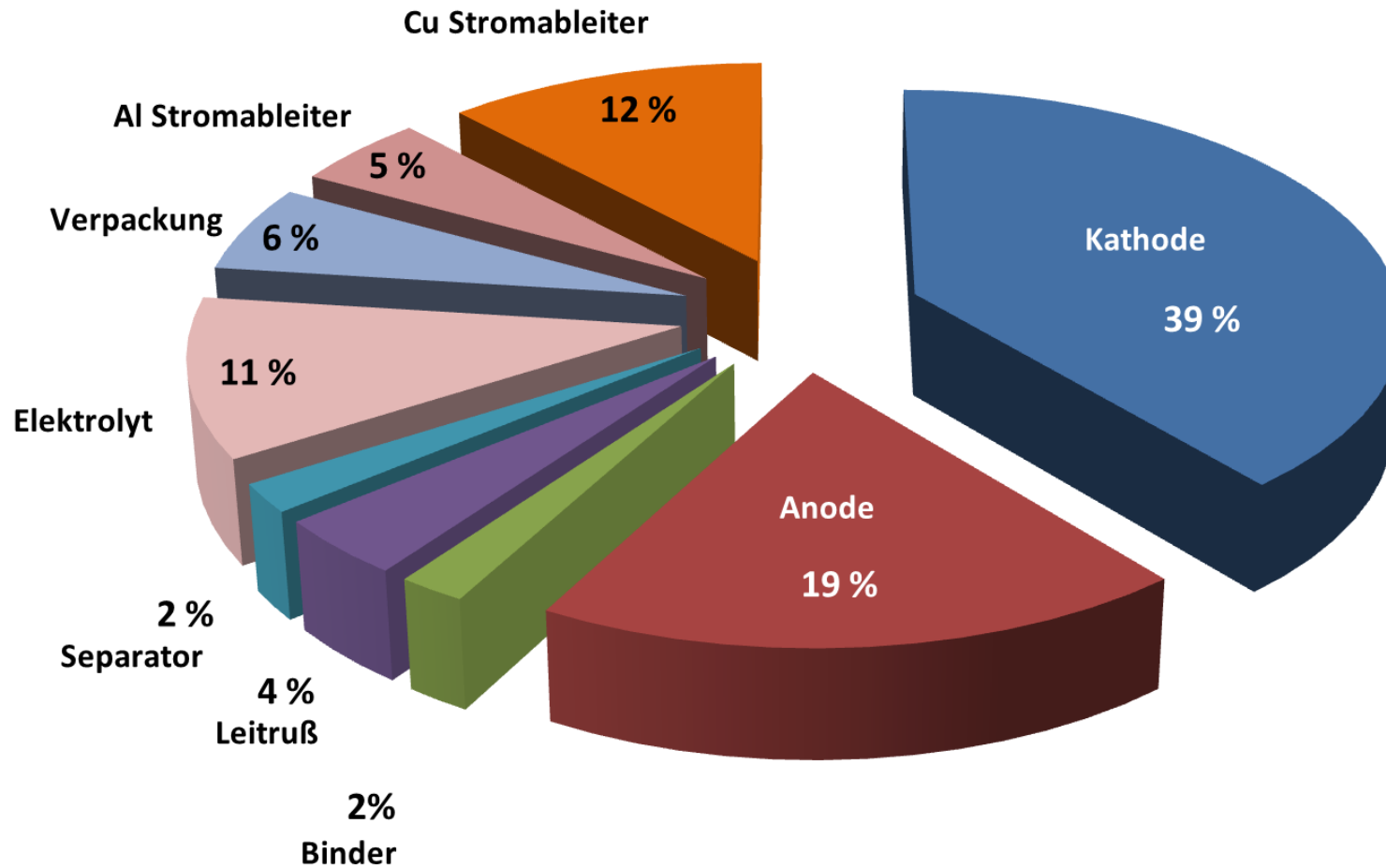
Construction of Li-ion batteries

Construction of the Li-ion batteries (proportions)



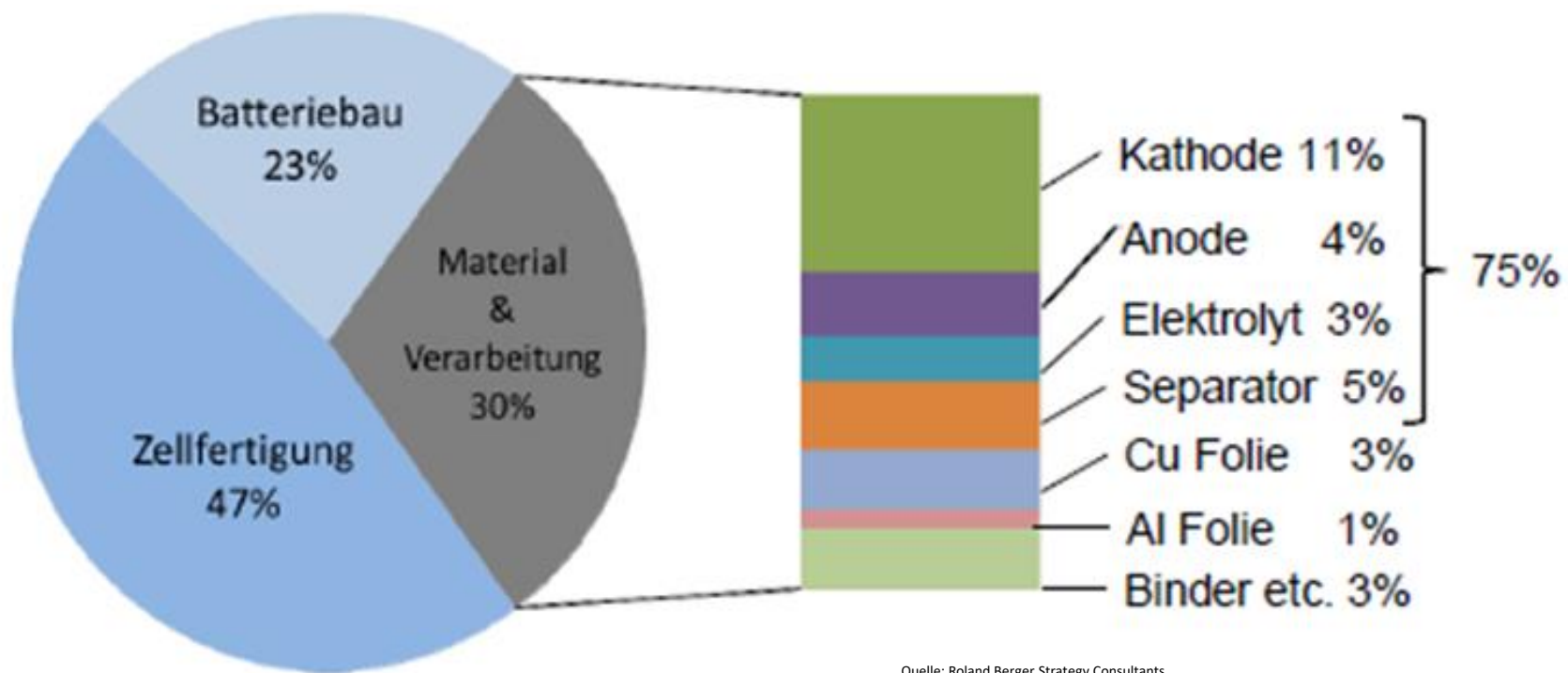
Components of a Li-ion battery

Weight distribution of the elementary components of a Li-ion battery cell



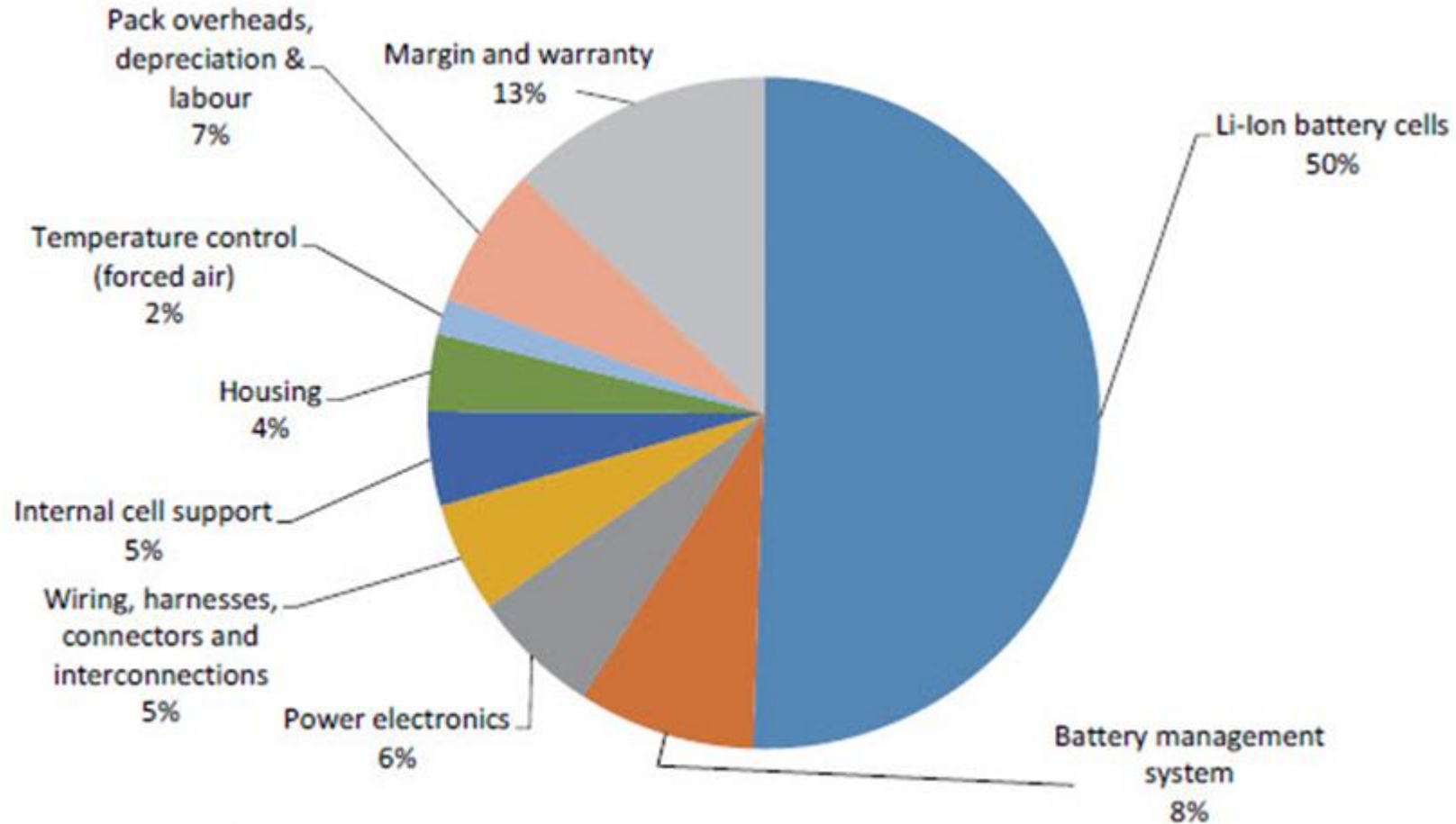
Cost distribution of a Li-ion battery pack

Based on a 500€/kWh high energy pack



Quelle: Roland Berger Strategy Consultants

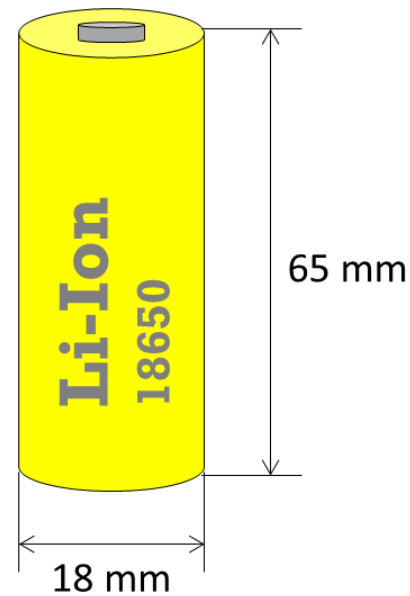
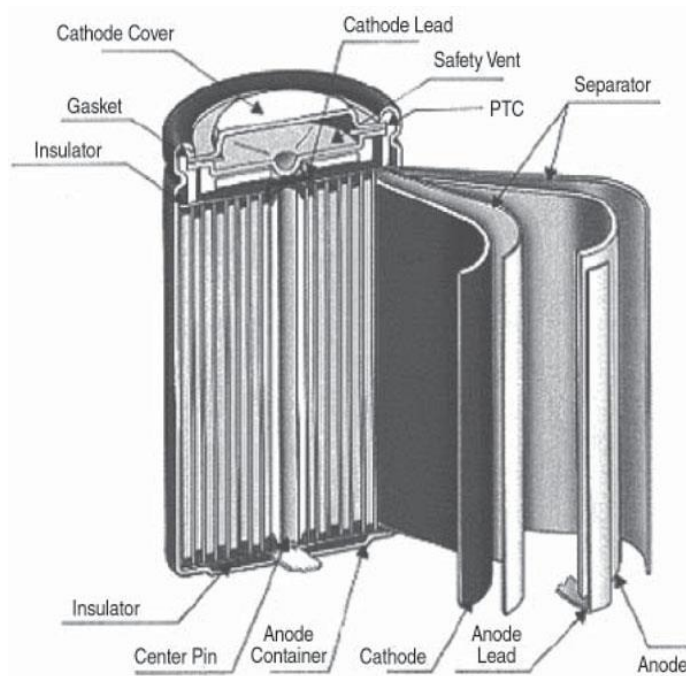
Cost distribution of a 22 kWh Li-ion battery pack used in a mid-size full-EV (2012)



\$500-800/kWh - Pack
\$300-400/kWh - Zelle

Quelle: Element Energy, 2012

Cylindrical cell



Typ 18650



z.B. 3S3P; 9 x 3,6V @ 2400mAh Zelle = 10,8V @ 00 mAh

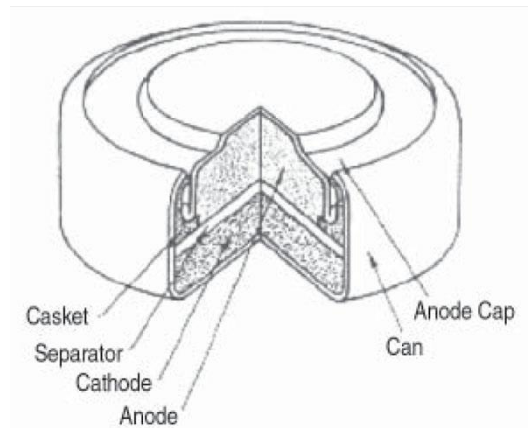
Typ 18650



Tesla Model S Batterie: >7000 individuelle 18650 Zellen in 16 Modulen.
85 kWh (400V DC)

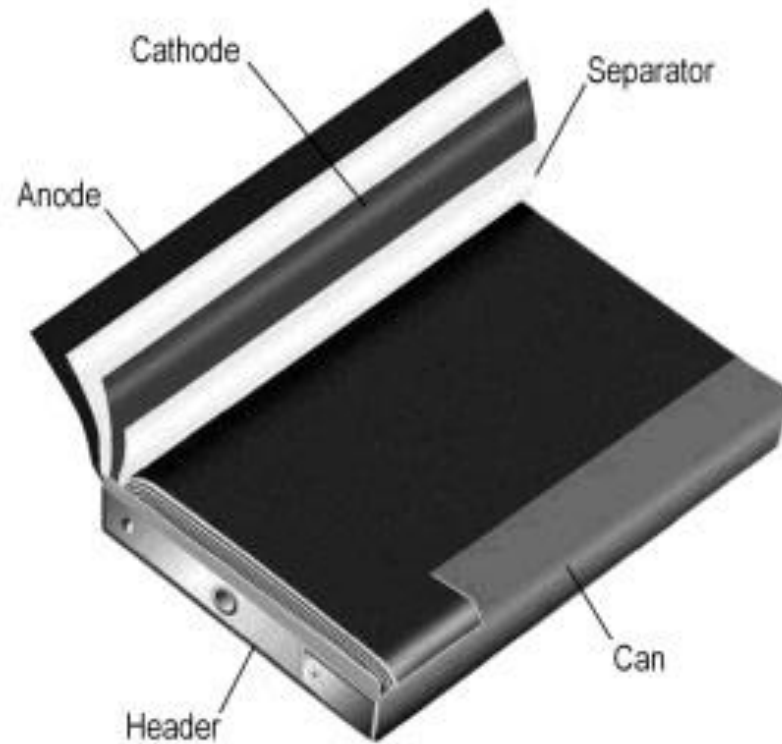
Custom Li-ion battery cell formats

Button cell (primary cell)



Bezeichnung	Durchmesser (mm)	Höhe (mm)	Spannung (V)	Kapazität (mAh)
CR2016	20	1,6	3	90
CR2025	20	2,5	3	150
CR2025	20	2,5	3	165
CR2032	20	3,2	3	210

The prismatic form



Comparison of the different cell chemistries

	Lead acid (VRLA)	NiCd	NiMH	Li-Ion
Nominal cell voltage (V)	2,0	1,2	1,2	3,7
Specific energy(Wh/kg)	35	50	90	165
Energy density (Wh/l)	80	170	330	330
cost/kWh	50	200	200	300-500
Cycle life performance	200	600-1000	300-500	500